Describing $\beta\beta$ Decay Processes in the In-Medium SRG Framework



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Neutrinoless Double Beta Decay





Neutrinoless Double Beta Decay





 interactions and transition operators from Chiral EFT, including currents

(cf. talks by S. Pastore and A. Schwenk)

- tune resolution scale of the Hamiltonian / Hilbert space (cf. talk by P. Navrátil, also M. Horoi)
- (MR-)IM-SRG: calculate ground (and excited) states or derive Shell Model interaction (also see talk by R. Stroberg)
- evaluate **1B**, **2B** (, 3B,...)
 transition operator

The Similarity Renormalization Group

Review:

S. Bogner, R. Furnstahl, and A. Schwenk, Prog. Part. Nucl. Phys. 65 (2010), 94

E. Anderson, S. Bogner, R. Furnstahl, and R. Perry, Phys. Rev. C82 (2011), 054001
E. Jurgenson, P. Navratil, and R. Furnstahl, Phys. Rev. C83 (2011), 034301
R. Roth, S. Reinhardt, and H. H., Phys. Rev. C77 (2008), 064003
H. H. and R. Roth, Phys. Rev. C75 (2007), 051001

Similarity Renormalization Group

Basic Idea

continuous unitary transformation of the Hamiltonian to banddiagonal form w.r.t. a given "uncorrelated" many-body basis

• flow equation for Hamiltonian $H(s) = U(s)HU^{\dagger}(s)$:

$$\frac{d}{ds}H(s) = \left[\eta(s), H(s)\right], \quad \eta(s) = \frac{dU(s)}{ds}U^{\dagger}(s) = -\eta^{\dagger}(s)$$

• choose $\eta(s)$ to achieve desired behavior, e.g.,

$$\eta(\mathbf{s}) = \left[\mathbf{H}_{\mathbf{d}}(\mathbf{s}), \mathbf{H}_{\mathbf{od}}(\mathbf{s}) \right]$$

to suppress (suitably defined) off-diagonal Hamiltonian

• consistent evolution for all observables of interest

SRG in Two-Body Space



L=0

L=2

10

8



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SRG in Two-Body Space





[figures by R. Roth, A. Calci, J. Langhammer]



[figures by R. Roth, A. Calci, J. Langhammer]



(Multi-Reference) In-Medium SRG

H. H., in preparation

H. H., S. K. Bogner, T. D. Morris, A. Schwenk, and K. Tuskiyama, Phys. Rept. 621, 165 (2016)

H. H., S. Bogner, T. Morris, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. C 90, 041302 (2014)

H. H., S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett **110**, 242501 (2013)

Ground-State Decoupling



S

FRIB

Normal-Ordered Hamiltonian



Normal-Ordered Hamiltonian

Г = 🗙

W



$$E_0 = + + + +$$

+

two-body formalism with in-medium contributions from three-body interactions

Single-Reference Case





- reference state: **Slater determinant**
- normal-ordered operators depend on occupation numbers (one-body density)

Multi-Reference Case





 $\left\langle \begin{array}{l} p \\ s \end{array} \middle| H \middle| \Phi \right\rangle \sim \bar{n}_{p} n_{s} f_{s}^{p}, \sum_{kl} f_{l}^{k} \lambda_{pl}^{sk}, \sum_{klmn} \Gamma_{mn}^{kl} \lambda_{pmn}^{skl}, \dots \\ \left\langle \begin{array}{l} pq \\ st \end{array} \middle| H \middle| \Phi \right\rangle \sim \bar{n}_{p} \bar{n}_{q} n_{s} n_{t} \Gamma_{st}^{pq}, \sum_{kl} \Gamma_{sl}^{pk} \lambda_{ql}^{tk}, \sum_{kl} f_{l}^{k} \lambda_{pql}^{stk}, \sum_{klmn} \Gamma_{mn}^{kl} \lambda_{pqmn}^{stkl}, \dots \\ \left\langle \begin{array}{l} pqr \\ stu \end{array} \middle| H \middle| \Phi \right\rangle \sim \dots \end{array} \right\}$

- reference state: arbitrary
- normal-ordered operators depend on up to irreducible nbody density matrices of the reference state

$$\rho_{mn}^{kl} = \lambda_{mn}^{kl} + \lambda_m^k \lambda_n^l - \lambda_n^k \lambda_m^l$$
$$\rho_{lmn}^{ijk} = \lambda_{lmn}^{ijk} + \lambda_l^i \lambda_{mn}^{jk} + \lambda_l^i \lambda_m^{jk} \lambda_n^k + \text{permutations}$$

MR-IM-SRG References States



available

number-projected Hartree-Fock Bogoliubov vacua:

$$\left|\Phi_{ZN}\right\rangle = \frac{1}{(2\pi)^2} \int d\phi_p \int d\phi_n \, e^{i\phi_p(\hat{Z}-Z)} e^{i\phi_n(\hat{N}-N)} \left|\Phi\right\rangle$$

• small-scale (e.g., $0\hbar\Omega$, $2\hbar\Omega$) **No-Core Shell Model**:

$$\left|\Phi\right\rangle = \sum_{N=0}^{N_{\text{max}}} \sum_{i=1}^{\dim(N)} C_{i}^{(N)} \left|\Phi_{i}^{(N)}\right\rangle$$

Generator Coordinate Method (w/projections):

$$\left|\Phi\right\rangle = \int dq f(q) P_{J=0M=0} P_Z P_N \left|q\right\rangle$$

 Density Matrix Renormalization Group, Tensor Network States, ...
 Complement particle-hole type

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correlations

Decoupling in A-Body Space



aim: decouple reference state $|\Phi\rangle$ from excitations

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FRIB

Flow Equation





more efficient: solve flow using Magnus methods, T. D. Morris et al., PRC 92, 034331 (2015)

Decoupling





Decoupling





Oxygen Isotopes



HH et al., PRL 110, 242501 (2013), ADC(3): A. Cipollone et al., PRL 111, 242501 (2013)



- Multi-Reference IM-SRG with numberprojected Hartree-Fock-Bogoliubov as reference state
- consistent results from different many-body methods



Oxygen Radii



V. Lapoux, V. Somà, C. Barbieri, H. H., J. D. Holt, and S. R. Stroberg, under peer review



Calcium Isotopes





HH et al., PRC 90, 041302(R) (2014)

- differential observables (S_{2n}, spectra,...) filter out interaction components that cause overbinding
- predict flat trends for g.s.
 energies/S_{2n} beyond ⁵⁴Ca
 await experimental data
- ⁵²Ca, ⁵⁴Ca magic for these NN+3N interactions
- no continuum coupling yet, other S_{2n} uncertainties < 1MeV

The Mass Frontier: Tin





- systematics of overbinding similar to Ca/Ni
- not converged with respect to 3N matrix element truncation:

$$e_1 + e_2 + e_3 \leq E_{3max}$$

(e_{1,2,3} : SHO energy quantum numbers)

need technical improvements to go further

Neutrinoless Double Beta Decay: Ground-State to Ground-State Decay

with J. Yao, C. Jiao, J. Engel



Nuclear Matrix Elements



ЗN



- inputs tailored to specific methods: phenometry in the specific methods: phenometry in th
- quenched g_A , "renormalization" of operator,

Many-Body Approaches





MR-IM-SRG References States



available

number-projected Hartree-Fock Bogoliubov vacua:

$$\left|\Phi_{ZN}\right\rangle = \frac{1}{(2\pi)^2} \int d\phi_p \int d\phi_n \, e^{i\phi_p(\hat{Z}-Z)} e^{i\phi_n(\hat{N}-N)} \left|\Phi\right\rangle$$

• small-scale (e.g., $0\hbar\Omega$, $2\hbar\Omega$) **No-Core Shell Model**:

$$\left|\Phi\right\rangle = \sum_{N=0}^{N_{\text{max}}} \sum_{i=1}^{\dim(N)} C_{i}^{(N)} \left|\Phi_{i}^{(N)}\right\rangle$$

Generator Coordinate Method (w/projections):

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 Density Matrix Renormalization Group, Tensor Network States, ...

76**Ge**





proof of principle: MR-IM-SRG based on (intrinsically deformed) GCM state converges ⁷⁶Ge,⁷⁶Se ground-state energies

 $7^{6}Ge \longrightarrow 7^{6}Se$







direct MR-IM-SRG (Magnus) calculation of initial and final states:

$$\left|\Psi_{I,F}\right\rangle = e^{\overline{\Omega}_{I,F}} \left|\Phi_{I,F}\right\rangle$$

 evaluate NME for transition operator in closure approximation:

$$M_{0\nu\beta\beta} = \left\langle \left. \Phi_{F} \right| e^{-\Omega_{F}} O_{0\nu\beta\beta} e^{\Omega_{I}} \left| \Phi_{I} \right. \right\rangle$$

• explore possible expansions and check consistency, e.g.,

$$\mathbf{e}^{-\overline{\Omega}_{\mathsf{F}}} = \mathbf{e}^{-(\overline{\Omega}_{\mathsf{I}} + \delta\overline{\Omega})} = \mathbf{e}^{-\delta\overline{\Omega}}\mathbf{e}^{-\overline{\Omega}_{\mathsf{I}}} + \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}}} = \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\overline{\Omega}_{\mathsf{F}}} + \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\overline{\Omega}_{\mathsf{F}}} = \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\overline{\Omega}_{\mathsf{F}}} + \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\overline{\Omega}_{\mathsf{F}}} + \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\overline{\Omega}_{\mathsf{F}}} + \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}}} \mathbf{e}^{-\delta\overline{\Omega}_{\mathsf{F}$$

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in progress

Neutrinoless Double Beta Decay: Explicit Treatment of Excited States

N. M. Parzuchowski, S. K. Bogner, in preparation

S. R. Stroberg, H. H., J. D. Holt, S. K. Bogner, A. Schwenk, PRC93, 051301(R) (2016)

S. K. Bogner, H. H., J. D. Holt, A. Schwenk, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. Lett. 113, 142501 (2014)

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. C 85, 061304(R) (2012)





Equation-of-Motion Method



• describe "excited states" based on reference state:

$$\left| \Phi_{k} \right\rangle = Q_{k}^{\dagger} \left| \Phi_{0} \right\rangle$$

• (MR-)IM-SRG effective Hamiltonian in EOM approach:

$$[H(\mathbf{s}), \mathbf{Q}_{k}^{\dagger}(\mathbf{s})] = \omega_{k}(\mathbf{s})\mathbf{Q}_{k}^{\dagger}(\mathbf{s}), \quad \omega_{k}(\mathbf{s}) = E_{k}(\mathbf{s}) - E_{0}(\mathbf{s})$$

 ansatz for excitation operator (g.s. correlations built into Hamiltonian):

$$Q_{k}^{\dagger}(s) = \sum_{ph} q_{h}^{p}(s) : A_{h}^{p} : + \frac{1}{4} \sum_{pp'hh'} q_{hh'}^{pp'}(s) : A_{hh'}^{pp'} :$$

- polynomial effort vs. factorial scaling of Shell Model
- can exploit multi-reference capabilities (commutator formulation identical to flow equations)

Valence Space Decoupling





Valence Space Decoupling





Epilogue

Progress in Ab Initio Calculations



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Progress in Ab Initio Calculations



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S





- towards *ab initio* NMEs: interaction, operators, many-body method with systematic uncertainties & convergence to exact result
- rapidly growing capabilities: g.s. energies, spectra, radii, transitions, ...

ingredients for NME calculation, plus validation through other observables

- test new generation of chiral Hamiltonians, greatly improved optimization - also more accurate (?)
 - NNLO_{sat}, NNLO_{sim}, EKM / LENPIC interactions, local NNLO, etc.

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 - G. Papadimitriou Lawrence Livermore National Laboratory







Supplements

Magnus Formulation of the In-Medium SRG

T. D. Morris, N. M. Parzuchowski, S. K. Bogner, in preparation

T. D. Morris, N. M. Parzuchowski, S. K. Bogner, PRC 92, 034331 (2015)

H. H., S. K. Bogner, **T. D. Morris**, A. Schwenk, and K. Tuskiyama, Phys. Rept. **621**, 165 (2016)

W. Magnus, Comm. Pure and Appl. Math VII, 649-673 (1954)





• explicit exponential ansatz for unitary transformation:

$$U(\mathbf{s}) = S \exp \int_0^{\mathbf{s}} d\mathbf{s}' \eta(\mathbf{s}') \equiv \exp \Omega(\mathbf{s})$$

• flow equation for Magnus operator :

$$\frac{d}{ds}\Omega = \sum_{k=0}^{\infty} \frac{B_k}{k!} \operatorname{ad}_{\Omega}^k(\eta) , \quad \operatorname{ad}_{\Omega}(O) = [\Omega, O]$$

(B_k: Bernoulli numbers)

- construct $O(s) = U(s)O_0U^{\dagger}(s)$ using Baker-Campbell-Hausdorff expansion (Hamiltonian + effective operators)
- MAG(2): **two-body truncation** (as in NO2B, IM-SRG(2))

Magnus vs. Direct Integration





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- Approximating IM-SRG(3)
 - final Hamiltonian (IM-SRG(2), MAG(2)):

$$\overline{H}_{\mathsf{MAG}(2)} = \left(e^{\Omega_{1,2}} H e^{-\Omega_{1,2}} \right) = \overline{H}_{0,1,2} + \overline{H}_3 + \dots$$

• energy contribution of \overline{H}_3 (cf. 0B flow):

$$\Delta E_3 = \frac{1}{36} \sum_{pp'p''hh'h''} \frac{|(\overline{H}_3)_{pp'p''hh'h''}|^2}{\overline{\Delta}_{pp'p''hh'h''}}$$

- family of non-iterative methods: level of approximation for \overline{H}_3 and energy denominator $\overline{\Delta}$
- generalizes to arbitrary observables, excited states
- multi-reference variant in development





Example: Bond Breaking in Water





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Convergence





ODE and BCH expansions converge rapidly and monotonically

Neon Isotopes





Deformation: ²⁰Ne





Neon Radii





NNLOsat





- accurate description of ⁸He, ^{40,48}Ca g.s. energies & radii, ^{40,48}Ca charge distributions
- predictions for electric dipole polarizability, neutron skin, weak form factor of ⁴⁸Ca

Optimization of Correlated LECs





- chiral LECs in NN, 3N, πN sectors are correlated
- sequential vs. simultaneous optimization, NNLO, NN+3N: $E(^{4}\text{He}) = 28^{+8}_{-18} \text{ MeV} \text{ vs. } E(^{4}\text{He}) = 28.26^{+4}_{-5} \text{ MeV}$

Induced Interactions



- SRG is a unitary transformation in A-body space
- up to A-body interactions are induced during the flow:

$$\frac{dH}{d\lambda} = \left[\left[\sum a^{\dagger}a, \sum \underbrace{a^{\dagger}a^{\dagger}aa}_{2\text{-body}} \right], \sum \underbrace{a^{\dagger}a^{\dagger}aa}_{2\text{-body}} \right] = \ldots + \sum \underbrace{a^{\dagger}a^{\dagger}a^{\dagger}aaa}_{3\text{-body}} + \ldots$$

- state-of-the-art: evolve in three-body space, truncate induced four- and higher many-body forces (Jurgenson, Furnstahl, Navratil, PRL 103, 082501; Hebeler, PRC 85, 021002; Wendt, PRC 87, 061001)
- λ-dependence of eigenvalues is a diagnostic for size of omitted induced interactions

IM-SRG(2) Flow Equations



0-body Flow



1-body Flow



IM-SRG(2): truncate ops. at two-body level

IM-SRG(2) Flow Equations





IM-SRG(2): truncate ops. at two-body level

IM-SRG(2) Flow Equations





In-Medium SRG Flow: Diagrams





In-Medium SRG Flow: Diagrams





Results: Closed-Shell Nuclei





Phys. Rev. C 87, 034307 (2013), arXiv: 1212.1190 [nucl-th]

Choice of Generator



• Wegner:
$$\eta' = [H_d, H_{od}]$$

• White: (J. Chem. Phys. 117, 7472)

$$\eta'' = \sum_{ph} \frac{f_h^p}{\Delta_h^p} : A_h^p : +\frac{1}{4} \sum_{pp'hh'} \frac{\Gamma_{hh'}^{pp'}}{\Delta_{hh'}^{pp'}} : A_{hh'}^{pp'} : -\text{H.c.}$$

$$\Delta_h^p, \Delta_{hh'}^{pp'} : \text{approx. 1p1h, 2p2h excitation energies}$$

• "imaginary time": (Morris, Bogner)

$$\eta^{III} = \sum_{ph} \operatorname{sgn} \left(\Delta_h^p \right) f_h^p : A_h^p : + \frac{1}{4} \sum_{pp'hh'} \operatorname{sgn} \left(\Delta_{hh'}^{pp'} \right) \Gamma_{hh'}^{pp'} : A_{hh'}^{pp'} : - \text{H.c.}$$

- off-diagonal matrix elements are suppressed like $e^{-\Delta^2 s}$ (Wegner), e^{-s} (White), and $e^{-|\Delta|s}$ (imaginary time)
- g.s. energies (s $\rightarrow \infty$) differ by $\ll 1\%$

MR-IM-SRG Flow Equations



0-body flow:

$$\frac{dE}{ds} = \sum_{ab} (n_a - n_b) \eta_b^a f_a^b + \frac{1}{2} \sum_{abcd} \eta_{cd}^{ab} \Gamma_{ab}^{cd} n_a n_b \bar{n}_c \bar{n}_d \qquad O(N^4)$$

$$+ \frac{1}{4} \sum_{abcd} \left(\frac{d}{ds} \Gamma_{cd}^{ab} \right) \lambda_{cd}^{ab} + \frac{1}{4} \sum_{abcdklm} \left(\eta_{cd}^{ab} \Gamma_{am}^{kl} - \Gamma_{cd}^{ab} \eta_{am}^{kl} \right) \lambda_{cdm}^{bkl}$$

$$O(N^4) \qquad O(N^7)$$

- storage of full 3B density matrix too expensive in general
- exploit structure of specific reference states:
 - Projected HFB: O(N³) storage, scaling reduced to O(N⁴)

 $\lambda_{def}^{abc} = \overline{\lambda}_{abc} \ \delta_d^a \delta_e^b \delta_f^c + \widetilde{\lambda}_{a|be} \ \delta_d^a \delta^{b\bar{c}} \delta_{e\bar{f}} + \text{perm.}$

• NCSM / active-space CI: small non-zero block only

Particle-Number Projected HFB



 HFB ground state is a superposition of states with different particle number:

$$\Psi \rangle = \sum_{A=N,N\pm2,...} c_A |\Psi_A \rangle, \quad |\Psi_N \rangle \equiv P_N |\Psi \rangle \equiv \frac{1}{2\pi} \int_0^{2\pi} d\phi \, e^{i\phi(\hat{N}-N)} |\Psi \rangle$$

• calculate irreducible densities (project only once), e.g.,

$$\lambda_{I}^{k} = \frac{\left\langle \Psi \middle| A_{I}^{k} P_{N} \middle| \Psi \right\rangle}{\left\langle \Psi \middle| \Psi \right\rangle}, \quad \lambda_{mn}^{kl} = \frac{\left\langle \Psi \middle| A_{mn}^{kl} P_{N} \middle| \Psi \right\rangle}{\left\langle \Psi \middle| \Psi \right\rangle} - \lambda_{m}^{k} \lambda_{m}^{l} + \lambda_{n}^{k} \lambda_{m}^{l}$$

• work in natural orbitals (= HFB canonical basis):

$$\lambda_l^k = n_k \delta_l^k \left(= v_k^2 \delta_l^k \right) , \quad 0 \le n_k \le 1$$

• in NO basis, λ_{mn}^{kl} , λ_{nop}^{klm} require only N²/2, N³/4 storage