# Introduction to Group Theory with Applications in Molecular and Solid State Physics <br> <br> Karsten Horn <br> <br> Karsten Horn <br> Fritz-Haber-Institut der Max-Planck-Gesellschaft <br> ஜூ 0308412 3100, e-mail horn@fhi-berlin.mpg.de 

Symmetry - old concept, already known to Greek natural philosophy



> Why apply group theory in physics?
"It is often hard or even impossible to obtain a solution to the Schrödinger equation - however, a large part of qualitative results can be obtained by group theory. Almost all the rules of spectroscopy follow from the symmetry of a problem" E.Wigner, 1931

## Outline

1. Symmetry elements and point groups
1.1. Symmetry elements and operations
1.2. Group concepts
1.3. Classification of point groups, including the Platonic Solids
1.4. Finding the point group that a molecule belongs to
2. Group representations
2.1. An intuitive approach
2.2. The great orthogonality theorem (GOT)
2.3. Theorems about irreducible representations
2.4. Basis functions
2.5. Relation between representation theory and quantum mechanics
2.6. Character tables and how to use them
2.7. Examples: symmetry of physical properties, tensor symmetries
3. Molecular Orbitals and Group Theory
3.1. Elementary representations of the full rotation group
3.2. Basics of MO theory
3.3. Projection and Transfer Operators
3.4. Symmetry of LCAO orbitals
3.5. Direct product groups, matrix elements, selection rules
3.6. Correlation diagrams
4. Vibrations in molecules
4.1. Number and symmetry of normal modes in molecules
4.2. Vibronic wave functions
4.3. IR and Raman selection rules
5. Electron bands in solids
5.1. Symmetry properties of solids
5.2. Wave functions of energy bands
5.3. The group of the wave vector
5.4. Band degeneracy, compatibility

If you come up with a symmetryrelated problem from your own work, bring it in and we can discuss it (time permitting)

At the end of this week, having followed the course, you should be able to

- determine the point group of a solid object such as a molecule or a crystalline unit cell or the space group of a translational periodic pattern
- determine the symmetry properties (in terms of irreducible representations) of
* tensorial properties of solids and derive those tensor elements which are "zero by symmetry"
* atomic wave functions in a crystal field

example of a wallpaper group; applies to surface problems
* molecular orbital wave functions
* molecular vibrational wave functions
* Bloch waves in a periodic solid
- derive symmetry selection rules for vibrational (infrared, Raman) and electronic (Vis-UV, photoemission) transition matrix elements
- identify molecular orbital and electronic band degeneracies and apply the "no-crossing-rule"
- and much more...

What we do not cover here is the Complete Nuclear Permutation Inversion Group see book by P. R. Bunker and Per Jensen: Fundamentals of Molecular Symmetry, IOP Publishing, Bristol, 2004 (ISBN 0-7503-0941-5). However, given the successful mastering of the material discussed in this block course you should be able to extend your knowledge to this topic

## Material about symmetry on the Internet

Character tables: http://symmetry.jacobs-university.de/
The platonic solids: http://www.csd.uwo.ca/~morey/archimedean.html
Wallpaper groups: http://www.clarku.edu/~djoyce/wallpaper/seventeen.html
Point group symmetries: http://www.staff.ncl.ac.uk/j.p.goss/symmetry/index.html
Students Online Resources of the book by Atkins \& de Paula: "Physical Chemistry", $8 e$ at http://www.oup.com/uk/orc/bin/9780198700722/01student/tables/tables_for_group_theory.pdf

Other symmetry-related links: http://www.staff.ncl.ac.uk/j.p.goss/symmetry/links.html

## application: vibrational transitions in metal clusters



Photoelectron spectroscopy and quantum mechanical calculations have shown that anionic $\mathrm{Au}_{20}$ - is a pyramid and has $\mathrm{Td}_{d}$ symmetry. This structure has also been suggested to be the global minimum for neutral $\mathrm{Au}_{20}$ (14). The FIR-MPD spectrum we measured of the $\mathrm{Au}_{2} \mathrm{Kr}$ complex (Fig. 2A) was very simple, with a dominant absorption at $148 \mathrm{~cm}-1$, which already pointed to a highly symmetric structure. The calculated spectrum of tetrahedral $\mathrm{Au}_{20}$ was in agreement with the experiment (Fig. 2C)... The strong absorption at 148 $\mathrm{cm}-1$ corresponds to a triply degenerate vibration ( $\mathrm{t}_{2}$ ) in bare $\mathrm{Au}_{20}$ with $\mathrm{T}_{\mathrm{d}}$ symmetry. Theory predicts a truncated trigonal pyramid to be the minimum energy structure for neutral $A u_{19}$ (27), for which the removal of a corner atom of the Au20 tetrahedron reduces the symmetry from $\mathrm{T}_{\mathrm{d}}$ to $\mathrm{C}_{3}$. As a direct consequence, the degeneracy of the $t_{2}$ vibration of $\mathrm{Au}_{20}$ is lifted, and this mode splits into a doubly degenerate vibration (e) and a nondegenerate vibration ( $\mathbf{a}_{1}$ ) inAu $\mathbf{1}_{9}$. This splitting was observed in the vibrational spectrum of neutral $\mathrm{Au}_{19}$ (Fig. 2)... The truncated pyramidal structure of $\mathrm{Au}_{19}$ can thus be inferred directly from the IR spectrum.

Structures of Neutral $A u_{7}, A u_{19}$, and $A u_{20}$ Clusters in the Gas Phase
Ph. Gruene, D. M. Rayner, B. Redlich,3 A. F. G. van der Meer, J. T. Lyon, G. Meijer, A. Fielicke, Science
329, 5889 (2008)

## application: band structure in solids, including spin-orbit coupling



Fig. 14.1. Energy versus dimensionless wave vector for a few high-symmetry directions in germanium using standard notation. (a) The spin-orbit interaction has been neglected. (b) The spin-orbit interaction has been included and the bands are labeled by the double group representations

## Literature

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Atkins, P., Friedmann,R. "Molecular Quantum Mechanics" Oxford University Press, 2005: Chapter 5: Group Theory,

## Symmetry and degeneracy

In general, the symmetry we aim to exploit is the symmetry of the Hamilton operator.

Simple example: a solid in a gravitational field. Potential energy depends on the face on which the body rests: the higher the center of mass, the higher $E_{p o t}$
a

a


each level 2-fold degenerate $(a b=b a)$


As the symmetry "increases" (what does that mean?), the number of degenerate energy levels increases

Why should we care about symmetry properties in physics and chemistry?

- Think of an surface system, e.g. a nickel atom in a (111) surface. How should we classify the d orbitals of that atom? $\mathrm{d}_{\mathrm{z}}{ }^{2}$ etc.?
- How should we classify molecular vibrations? In terms of their geometrical distortions?
- How can we classify electronic states in a molecular orbital?


## 1. Symmetry elements and point groups

1.1 Symmmetry elements and operations

Operator gives instructions what to do: e.g. $\frac{\partial}{\partial x}$
differentiate with respect to $x$
Here: operator instructs to "rotate a body by $2 \pi / 3$
around a particular axis"

Definition: A symmetry operation is an operation which brings an object into a new orientation which is equivalent to the old one.

Example: molecule $\mathrm{BF}_{3}$ (planar)
Rotations by 120 degrees, 180 degrees, reflections.

How many different symmetry operations
 can one apply to this molecule?

What kinds of symmetry operations are there? - Many! Permutation,
rotation, inversion, charge, parity, time (CPT) reversal, ...
Here: five spatial symmetry operations which leave one point in space fixed (-> point group symmetry)

1. Identity: Symbol E Transformation matrix: $\left(\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right)\left(\begin{array}{l}x \\ y \\ z\end{array}\right)=\left(\begin{array}{l}x \\ y \\ z\end{array}\right)$
2. Reflection: Symbol $\sigma$ nomenclature: $\sigma^{\prime}, \sigma^{\prime \prime}$ etc, or $\sigma_{x y}$ for reflection in the xy plane; $\left(\begin{array}{ccc}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1\end{array}\right)\left(\begin{array}{l}x \\ y \\ \text { also } \sigma_{v} \text { for vertical, } \sigma_{h} \text { for horizontal, } \sigma_{d} \text { for dihedral }\end{array}\right)$.
3. Rotation around an axis: Symbol $C_{n}$ (here around $z$ axis)

$$
\left(\begin{array}{ccc}
\cos (2 \pi / n) & \sin (2 \pi / n) & 0 \\
-\sin (2 \pi / n) & \cos (2 \pi / n) & 0 \\
0 & 0 & 1
\end{array}\right)\left(\begin{array}{l}
x \\
y \\
z
\end{array}\right)=\left(\begin{array}{l}
x^{\prime} \\
y^{\prime} \\
z^{\prime}
\end{array}\right)
$$

Nomenclature: $C_{n}{ }^{m}$ : apply a $C_{n}$ rotation $m$ times
4. Improper rotation: Symbol $^{S_{n}}$
$\begin{aligned} & \text { (Reflection in mirror plane followed by rotation normal to mirror plane, (here } \\ & \text { around } z \text { axis)) }\end{aligned}\left(\begin{array}{ccc}-\sin (2 \pi / n) & \cos (2 \pi / n) & 0 \\ 0 & 0 & -1\end{array}\right)\left(\begin{array}{l}x \\ y \\ z\end{array}\right)=\binom{y^{\prime}}{z^{\prime}}$
Nomenclature: $S_{n}{ }^{m}$ : apply a $S_{n}$ rotation $m$ times
5. Inversion:
Symbol i
$\left(\begin{array}{ccc}-1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1\end{array}\right)\left(\begin{array}{l}x \\ y \\ z\end{array}\right)=\left(\begin{array}{l}x^{\prime} \\ y^{\prime} \\ z^{\prime}\end{array}\right)$

## Examples of objects with such symmetry elements




## What does one need an improper axis of rotation for ?

consider a chiral object - the human hand

$\Rightarrow$ an object is chiral if it cannot be superimposed on its mirror image
$\Rightarrow$ an object is chiral if it has no improper rotation axis
need improper rotation also to fulfil group requirements: closure - see next section

## Chirality Induction:

Adaption of a flexible, achiral object to the handedness of a chiral object the achiral object assumes a chiral conformation


### 1.2 Group concepts

A group in the mathematical sense is a set of elements $\{a, b, c\}$ for which an operation $\odot$ is defined such that a third element is associated with any ordered pair ("multiplication"). This operation must satisfy four requirements (group axioms).

### 1.2.1 Group axioms

1. Closure: the product of two elements is again an element of the group
2. One of the elements of the group is the unit element, such that $E \odot A=A \odot E=A$
3. To each element $A$ there is an inverse element $A^{-1}$ such that $A \odot A^{-1}=A^{-1} \odot A=E$
4. The associativity law holds: $A \odot(B \odot C)=(A \odot B) \odot C$

Notice: If the group members commute, i.e. $A \odot B=B \odot A$ for all group members, then the group is said to be Abelian.

Number of elements in the group is called "order of the group" $h$.

### 1.2.2. Examples of groups

a.) The set of all integers with addition as operation (an infinite group). $\quad E=0 \quad A^{-1}=-A$
b.) The set of all $n \times n$ matrices with nonvanishing determinants

Operation is matrix multiplication, unit element is the unit matrix. Inverse of a matrix $\boldsymbol{A}$ is $\boldsymbol{A}^{-1}$
c.) The set of symmetry operations $E, C_{2}, \sigma_{x z}, \sigma_{y z}$
1). The group is closed. This applies to any symmetry group, but it must be demonstrated by means of a multiplication table

|  | E | $\mathrm{C}_{2}$ | $\sigma_{\mathrm{xz}}$ | $\sigma_{\mathrm{yz}}$ |
| :--- | :--- | :--- | :--- | :--- |
| E | E | $\mathrm{C}_{2}$ | $\sigma_{\mathrm{xz}}$ | $\sigma_{y z}$ |
| $\mathrm{C}_{2}$ | $\mathrm{C}_{2}$ | E | $\sigma_{\mathrm{yz}}$ | $\sigma_{\mathrm{xz}}$ |
| $\sigma_{\mathrm{xz}}$ | $\sigma_{\mathrm{xz}}$ | $\sigma_{\mathrm{yz}}$ | E | $\mathrm{C}_{2}$ |
| $\sigma_{\mathrm{yz}}$ | $\sigma_{\mathrm{yz}}$ | $\sigma_{\mathrm{xz}}$ | $\mathrm{C}_{2}$ | E |

multiplication table


This object transforms into an equivalent spatial arrangement when $E, C_{2}$,
$\sigma_{x z}$ and $\sigma_{y z}$ are applied

But one can also say that the closure axiom is fulfilled since any of the products of symmetry operations transforms the object into an equivalent conformation
2. There is a unit element $E$, the identity
3. There is an inverse to each element (see multiplication table)
4. Associativity holds

In the first part of the lecture course: operations that leave a point in space fixed $->$ "point groups"

When including translations, glide planes and screw axes $\rightarrow$ "space groups"

### 1.2.3 Multiplication tables

As seen above, group axioms can be tested by means of a multiplication table:
Consider this set of matrices

$$
\begin{array}{cccccc}
\left(\begin{array}{ll}
1 & 0 \\
0 & 1
\end{array}\right) & \frac{1}{2}\left(\begin{array}{cc}
-1 & \sqrt{3} \\
-\sqrt{3} & -1
\end{array}\right) & \frac{1}{2}\left(\begin{array}{cc}
-1 & -\sqrt{3} \\
\sqrt{3} & -1
\end{array}\right) & \left(\begin{array}{cc}
-1 & 0 \\
0 & 1
\end{array}\right) & \frac{1}{2}\left(\begin{array}{cc}
1 & -\sqrt{3} \\
-\sqrt{3} & -1
\end{array}\right) & \frac{1}{2}\left(\begin{array}{cc}
1 & \sqrt{3} \\
\sqrt{3} & -1
\end{array}\right) \\
\mathrm{E} & \mathrm{~A} & \mathrm{~B} & \mathrm{C} & \mathrm{D} & \mathrm{~F}
\end{array}
$$

The multiplication table is then: (try it out if you don't believe it)

|  | $E$ | $A$ | $B$ | $C$ | $D$ | $F$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $E$ | E | A | B | C | D | F |
| A | A | B | E | F | C | D |
| B | B | E | A | D | F | C |
| $\boldsymbol{C}$ | C | D | F | E | A | B |
| $\boldsymbol{D}$ | D | F | C | B | E | A |
| F | F | C | D | A | B | E |

Theorem: Every element of the group occurs only once in each row or column of the multiplication table.

Note: As the group is non-Abelian, the table does not have to be symmetric.

### 1.2.4 Further group concepts

## a.) Subgroup

Defintion: The group $S$ is a subgroup of the group $G$ if all elements of $S$ are in $G$, and if $S$ satisfies the group axioms.

It can be shown that the ratio of group orders $s$ and $g, g / s$ is an integer.

## b.) Conjugated elements

Let $A, B$, and $C$ be members of a group $G$
Definition: $A$ and $B$ are conjugated, if they can be connected by a similarity transformation $A=X^{-1} B X, \quad$ where $X$ is also a member of the group.

- Every element is conjugated with itself.
- If $A$ is conjugated with $B, B$ is conjugated with $A$.
- If $A$ is conjugated with $B$ and $C$, then $B$ and $C$ are also conjugated.


## Geometric illustration for a similarity

## Ammonia $\mathrm{NH}_{3}$

(not planar)
Let $X=C_{3}, A=\sigma_{v}{ }^{\prime}, B=\sigma_{v}{ }^{\prime \prime}$

First, apply X




This has the same effect as applying $A$

So indeed $\quad A=X^{-1} B X$

$H_{3} \sigma_{v}{ }^{\prime \prime}$

$H_{1} \quad \sigma_{v}{ }^{\prime \prime}$


## C.) Classes of group elements

Definition: Group elements that are conjugated to one another form a class.

## d.) Isomorphism

Definition: Two groups are isomorphic if there is a 1:1 relation between their elements. Groups are identical in the mathematical sense.

## e.) Homomorphism

Definition: Two groups are homomorphic if to one element of group $G_{1}$ several elements of group $G_{2}$ are associated.
$G_{1}=\left\{A_{1}, \quad A_{2}, \quad A_{3}, \ldots\right\}$

$G_{2}=\left\{B_{1}, B_{2}, B_{3}, B_{4}, B_{5}, B_{6}, B_{7}, B_{8}, B_{9}, \ldots \quad\right\}$
Homomorphism preserves products, ie. the multiplication table!

Further reading: Serge Lang "Linear Algebra",
Paul Halmos "Finite Dimensional Vector Spaces"

## Homomorphism: an example

Example: $G_{1}=\{+1, \quad-1\}$

|  | E | $\mathrm{C}_{2}$ | $\sigma_{v}{ }^{\prime}$ | $\sigma_{v}{ }^{\prime \prime}$ |
| :--- | :--- | :--- | :--- | :--- |
| E | E | $\mathrm{C}_{2}$ | $\sigma_{v}{ }^{\prime}$ | $\sigma_{v}{ }^{\prime \prime}$ |
| $\mathrm{C}_{2}$ | $\mathrm{C}_{2}$ | E | $\sigma_{v}{ }^{\prime \prime}$ | $\sigma_{v}{ }^{\prime}$ |
| $\mathrm{\sigma}_{\mathrm{v}}{ }^{\prime}$ | $\sigma_{v}{ }^{\prime}$ | $\sigma_{\mathrm{v}}{ }^{\prime \prime}$ | E | $\mathrm{C}_{2}$ |
| $\sigma_{\mathrm{v}}{ }^{\prime \prime}$ | $\sigma_{v}{ }^{\prime \prime}$ | $\sigma_{v}{ }^{\prime}$ | $\mathrm{C}_{2}$ | E |

\

$$
G_{2}=\left\{E, C_{2}, \sigma_{v}^{\prime}, \sigma_{v}{ }^{\prime \prime}\right\}
$$

|  | 1 | 1 | -1 | -1 |
| ---: | ---: | ---: | ---: | ---: |
| 1 | 1 | 1 | -1 | -1 |
| 1 | 1 | 1 | -1 | -1 |
| -1 | -1 | -1 | 1 | 1 |
| -1 | -1 | -1 | 1 | 1 |

Multiplication tables give identical results for elements connected by a homomorphism.
f.) Multiplication of groups

Definition: The direct product of two groups which have only $E$ in common is the group of products of elements $A_{i} . B_{j}$. If the two groups have orders $h$ and $g$, the direct product group has $h \cdot g$ elements.
g.) Generator of a group

Definition: The generators of a group are those elements from which all elements of a group can be derived. Example: $G=\left\{C_{6}{ }^{1}, C_{6}{ }^{2}, C_{6}{ }^{3}, C_{6}{ }^{4}, C_{6}{ }^{5}, C_{6}{ }^{6}=\right.$ E\}. All elements can be derived form successive application of $C_{6}{ }^{1}$.

### 1.3 Classification of point groups (in Schoenflies notation)

1.3.1 The groups $C_{1}, C_{s}, C_{i}$.
$C_{1}$ : element $E\left(C_{1}\right)$
$C_{s}$ : E and a mirror plane
$C_{\mathrm{i}}: E$ and an inversion centre $\left(C_{i}\right)$
1.3.2 The groups $C_{n}$

Contain $E$ and a rotation by $2 \pi / n$. $C_{n}$ generates $C_{n}{ }^{2}, C_{n}{ }^{3}, C_{n}{ }^{n-1}$.
Example: $\mathrm{C}_{2}=\left\{\mathrm{E}, \mathrm{C}_{2}\right\} \quad \mathrm{H}_{2} \mathrm{O}_{2}$
13.3 The groups $S_{n}$


Contain $E$ and only an improper rotation by $2 \pi / n$. If there are other symmetry elements, the object does not belong to $S_{n}$.
Example: 1,3,5,7 tetrafluorocyclooctatetraene $S_{4}$



### 1.3.4 The groups $C_{n v}$ (frequent!)

Contain $E, C_{n}$ and $n$ mirror planes $\sigma_{v}$ which all contain the $C_{n}$ axis.
$v$ stands for vertical. The rotation axis
corresponding to $C_{n}$ with the largest $n$ is always taken as vertical:
Example: $C_{2 v}=\left\{E, C_{2}, \sigma_{v}{ }^{\prime}, \sigma_{v}{ }^{\prime \prime}\right\}$

### 1.3.5 The groups $C_{\text {nh }}$

Contain $E, C_{n}$ and a horizontal mirror plane. $h$ stands for horizontal. The rotation axis corresponding to $C_{n}$ with the largest $n$ is always taken as vertical. For $n$ even an inversion center exists.

planar hydrogen peroxide $C_{2 h}$
1.3.6 The groups $D_{n}$

Groups contain $E, C_{n}$ and $n C_{2}^{\prime} a x e s$ normal to $C_{n}$
1.3.7 The groups $D_{n d}$

Groups contain $E, C_{n}, n C_{2}^{\prime}$ axes normal to $C_{n}$, and $n$ mirror planes $\sigma_{d}$ which bisect the angles between the $C_{2}$ axes. If $n$ is odd there is also an inversion center.


Staggered ethane $D_{3 d}$
1.3.8 The groups $D_{n h}$

Groups contain $E, C_{n}, n C_{2}^{\prime}$ axes normal to $C_{n}$, one horizontal mirror plane. For even $n$ there is also an inversion center, and there are $n / 2$ mirror planes $\sigma_{d}$ which bisect the angles between the $C_{2}^{\prime}$ axes, and $n / 2$ mirror planes that contain the $C_{2}$ 'axes. For $n$ odd there are $n$ mirror planes that contain the $C_{2}$ axes.


Eclipsed ethane $D_{3 h}$

## The special groups

1.3.9 The axial groups
a) $C_{\infty v}$ one $C_{\infty}$ axis and $\infty \quad \sigma_{v}$ planes

## Example: carbon monoxide


heteronuclear diatomic molecule and a cone
b) $D_{\infty h}$ one $C_{\infty}$ axis and $\infty \quad \sigma_{v}$ planes and $\infty \quad C_{2}$ axes

Example: $\mathrm{N}_{2}, \mathrm{H}_{2}$

homonuclear diatomic molecule and a uniform cylinder

## The special groups

### 1.3.10 The platonic solids.

Plato describes them in his book "Timaios" and assigned them to his conception of the world Made from equilateral triangles, squares, and pentaeders
a) Tetrahedron
E
$4 \times \mathrm{C}_{3}$
$4 \times \mathrm{C}_{3}^{2}$
$\frac{3 \times \mathrm{C}_{2}}{12}$


| E |  |
| :--- | :--- |
| $4 \times \mathrm{C}_{3}$ | $4 \times \mathrm{C}_{3}^{2}$ |
| $3 \times \mathrm{C}_{2}$ | $3 \times \mathrm{S}_{4}^{3}$ |
| $3 \times \mathrm{S}_{4}$ | $\frac{6 \times \sigma_{d}}{24}$ |


$\mathrm{T}_{\mathrm{h}}$

In which molecule do you find tetrahedral bonding?
b.) The cube

$$
\begin{array}{ll}
\mathrm{E} & \\
3 \times \mathrm{C}_{2} & 4 \times \mathrm{C}_{3}^{2} \\
4 \times \mathrm{C}_{3} & 3 \times \mathrm{C}_{4}^{3} \\
6 \times \mathrm{C}_{2} & \frac{3 \times \mathrm{C}_{4}}{24}
\end{array}
$$



0

| E | $4 \times \mathrm{C}_{3}^{2}$ | $3 \times \mathrm{C}_{4}$ |
| :--- | :--- | :--- |
| $4 \times \mathrm{C}_{3}$ | $4 \times \mathrm{S}_{6}^{5}$ | $3 \times \mathrm{S}_{4}$ |
| $6 \times \mathrm{C}_{2}$ | $6 \times \sigma_{d}$ | $3 \times \sigma_{\mathrm{h}}$ |
| $3 \times \mathrm{C}_{2}\left(=\mathrm{C}_{4}^{2}\right)$ | $4 \times \mathrm{S}_{6}$ | $3 \times \mathrm{C}_{4}^{3}$ |
| i | $3 \times \mathrm{S}_{4}^{3}$ | $\frac{48}{}$ |


d.) Dodecahedron $I_{h}$

E
$12 \mathrm{C}_{5}$ axes
$20 \mathrm{C}_{3}$ axes
$15 \mathrm{C}_{2}$ axes
i
$12 \mathrm{~S}_{10}$ axes
$20 \mathrm{~S}_{6}$ axes
15 o planes
96

e.) Icosahedron

truncated
icosahedron



120 symmetry operations

## Important point groups

| Nonaxial groups | $\mathrm{C}_{1}$ | $\mathrm{C}_{\underline{\text { s }}}$ | $\mathrm{C}_{\mathrm{i}}$ | - | - | - | - |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{C}_{\mathrm{n}}$ groups | $\mathrm{C}_{2}$ | $\underline{C}_{3}$ | $\mathrm{C}_{4}$ | $\mathrm{C}_{5}$ | $\underline{C}_{6}$ | $\underline{C}_{\underline{1}}$ | $\underline{C}_{8}$ |
| $\mathrm{D}_{\mathrm{n}}$ groups | $\underline{D}_{2}$ | $\underline{D}_{3}$ | $\mathrm{D}_{4}$ | $\underline{D}_{5}$ | $\mathrm{D}_{6}$ | $\underline{D}_{7}$ | $\underline{D}_{8}$ |
| $\mathrm{C}_{\text {nv }}$ groups | $\mathrm{C}_{2 \mathrm{v}}$ | $\underline{C}_{\underline{3 v}}$ | $\mathrm{C}_{4 \mathrm{v}}$ | $\mathrm{C}_{5 \mathrm{vv}}$ | $\mathrm{C}_{6 \mathrm{bv}}$ | $\underline{C}_{7 v}$ | $\underline{C}_{8 \mathrm{v}}$ |
| $\mathrm{C}_{\mathrm{nh}}$ groups | $\mathrm{C}_{2 \mathrm{~h}}$ | $\mathrm{C}_{3 \mathrm{~h}}$ | $\mathrm{C}_{4 \mathrm{hb}}$ | $\mathrm{C}_{5 \mathrm{hb}}$ | $\mathrm{C}_{6 \underline{6}}$ | - | - |
| $\mathrm{D}_{\text {nh }}$ groups | $\underline{\mathrm{D}}_{2 \mathrm{~h}}$ | $\underline{\mathrm{D}}_{\underline{\text { h }}}$ | $\mathrm{D}_{4 \mathrm{~h}}$ | $\underline{D}_{5 h}$ | $\underline{D}_{6}$ | $\underline{\mathrm{D}}_{\text {7h }}$ | $\underline{\mathrm{D}}_{\underline{8 h}}$ |
| $\mathrm{D}_{\text {nd }}$ groups | $\underline{\mathrm{D}}_{2 \mathrm{~d}}$ | $\underline{D}_{\underline{3 d}}$ | $\mathrm{D}_{4 \mathrm{~d}}$ | $\underline{D}_{5 d}$ | $\underline{D}_{6}$ | $\underline{\mathrm{D}}_{\underline{\text { dd }}}$ | $\underline{\mathrm{D}}_{\underline{8 d}}$ |
| $\mathrm{S}_{\mathrm{n}}$ groups | $\underline{S}_{2}$ | $\underline{S}_{4}$ | $\underline{S}_{6}$ | $\underline{S}_{8}$ | $\underline{S}_{10}$ | $\underline{S}_{12}$ | - |
| Cubic groups | T | $\mathrm{T}_{\underline{\mathrm{h}}}$ | $\mathrm{T}_{\underline{d}}$ | O | $\underline{\mathrm{O}}_{\underline{\underline{h}}}$ | I | $\underline{\underline{L}}$ |
| Linear groups | $\underline{C} \omega \mathrm{v}$ | $\underline{\text { D }} \sim \mathrm{h}$ | - | - | - | - | - |



A useful collection of information about point groups can be found at http://symmetry.jacobs-university.de/ and in the Students Online Resources of the book by Atkins \& de Paula: "Physical Chemistry", 8e at http://www.oup.com/uk/orc

## Classification of objects in terms of their point group



## 2. Group representations

### 2.1 An intuitive approach

Aim: a) Represent symmetry operations by matrices
b) Find "irreducible representations", i.e. matrices of lowest dimensions

Definition: A group of square matrices $\Gamma\left(a_{i}\right)$ is called a representation of a point group if there is an isomorphism or a homomorphism between the matrices $\Gamma\left(a_{i}\right)$ and the symmetry operations of the point group.

One way to obtain matrix representation: Cartesian transformation matrices - we've done this before

$$
\begin{aligned}
& \text { Transformation } \\
& \text { matrices for } C_{3 v} \text { : } \\
& \sigma_{v}{ }^{\prime}\left(\begin{array}{ccc}
-1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 1
\end{array}\right) \quad \sigma_{v}{ }^{\prime \prime} \quad\left(\begin{array}{ccc}
1 / 2 & -\sqrt{3} / 2 & 0 \\
-\sqrt{3} / 2 & -1 / 2 & 0 \\
0 & 0 & 1
\end{array}\right) \quad \sigma_{v}{ }^{\prime \prime \prime} \quad\left(\begin{array}{ccc}
1 / 2 & \sqrt{3} / 2 & 0 \\
\sqrt{3} / 2 & -1 / 2 & 0 \\
0 & 0 & 1
\end{array}\right)
\end{aligned}
$$

## matrix representations

One way to obtain matrix representation: Cartesian transformation matrices we've done this before

Transformation matrices for $E$ $C_{3 v}$ :

$$
\left(\begin{array}{lll}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 1
\end{array}\right) \quad C_{3}{ }^{1}\left(\begin{array}{ccc}
-1 / 2 & \sqrt{3} / 2 & 0 \\
-\sqrt{3} / 2 & -1 / 2 & 0 \\
0 & 0 & 1
\end{array}\right) \quad \boldsymbol{C}_{3}{ }^{2} \quad\left(\begin{array}{ccc}
-1 / 2 & -\sqrt{3} / 2 & 0 \\
\sqrt{3} / 2 & -1 / 2 & 0 \\
0 & 0 & 1
\end{array}\right)
$$

$$
\sigma_{v}\left(\begin{array}{ccc}
-1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 1
\end{array}\right) \quad \sigma_{v}^{\prime} \quad\left(\begin{array}{ccc}
1 / 2 & -\sqrt{3} / 2 & 0 \\
-\sqrt{3} / 2 & -1 / 2 & 0 \\
0 & 0 & 1
\end{array}\right) \quad \sigma_{v}^{\prime \prime \prime} \quad\left(\begin{array}{ccc}
1 / 2 & \sqrt{3} / 2 & 0 \\
\sqrt{3} / 2 & -1 / 2 & 0 \\
0 & 0 & 1
\end{array}\right)
$$

matrices to represent symmetry operations example: group $C_{2 h}$
E $\left(\begin{array}{ccc}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right) * \begin{aligned} & x_{1} \\ & y_{1} \\ & z_{1}\end{aligned}=\left(\begin{array}{l}x_{1} \\ y_{1} \\ z_{1}\end{array}\right) \quad \sigma_{h}\left(\begin{array}{ccc}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1\end{array}\right) *\left(\begin{array}{l}x_{1} \\ y_{1} \\ z_{1}\end{array}\right)=\left(\begin{array}{l}x_{1} \\ y_{1} \\ -z_{1}\end{array}\right)$
$i \quad\left(\begin{array}{rrr}-1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1\end{array}\right)\left(\begin{array}{l}x_{1} \\ y_{1} \\ z_{1}\end{array}\right)=\left(\begin{array}{l}-x_{1} \\ -y_{1} \\ -z_{1}\end{array}\right)$
$C_{2}\left(\begin{array}{rrr}\cos \pi & \sin \pi & 0 \\ -\sin \pi & \cos \pi & 0 \\ 0 & 0 & 1\end{array}\right) *\left(\begin{array}{l}x_{1} \\ y_{1} \\ z_{1}\end{array}\right)=\left(\begin{array}{l}-x_{1} \\ -y_{1} \\ z_{1}\end{array}\right)$
$x_{2}=x_{1} \cos \theta+y_{1} \sin \theta$
$y_{2}=-x_{1} \sin \theta+y_{1} \cos \theta$
$\left(\begin{array}{rrr}\cos \theta & \sin \theta & 0 \\ -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1\end{array}\right) *\left(\begin{array}{l}x_{1} \\ y_{1} \\ z_{1}\end{array}\right)=\left(\begin{array}{l}x_{1}{ }^{\prime} \\ y_{1}, \\ z_{1}{ }^{\prime}\end{array}\right)$

$l \cos (\theta-\alpha)$

## Transformation

matrices for $C_{3 v}$ :


The matrices appear in block-diagonal form: $(2 \times 2)$ and $(1 \times 1)$ matrices, since the $(x, y)$ and $z$ coordinate transform into themselves always in $C_{3 v}$.

The matrices appear in block-diagonal form: $(2 \times 2)$ and $(1 \times 1)$ matrices, since the $(x, y)$ and $z$ coordinate transform into themselves always in $C_{3 v}$.

Question: Are there more representations? And more irreducible representations ?
How many in all?
One can also take higher dimension representations: e.g. attach coordinates to each atom in a molecule:


This is in fact the standard method for analysing normal mode symmetries in molecular vibrations (chapter 4).

Another, simpler way to write down a representation matrix:
example: a
(planar) pentagon

This can be written as

$$
\left(\begin{array}{lllll}
0 & 1 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 1 \\
1 & 0 & 0 & 0 & 0
\end{array}\right) \cdot\left(\begin{array}{l}
1 \\
2 \\
3 \\
4 \\
5
\end{array}\right)=\left(\begin{array}{l}
2 \\
3 \\
4 \\
5 \\
1
\end{array}\right)
$$

There is a similarity transformation with a matrix $Q$ that can transform such matrices into block-diagonal form (no proof here!)
similarity transform ->

$$
C_{5}^{\prime}=Q^{-1} C_{5} Q=\left[\begin{array}{cccc|cc}
1 & 0 & 0 & 0 & 0 \\
0 & \cos \frac{2 \pi}{5} & \sin \frac{2 \pi}{5} & 0 & 0 \\
0 & -\sin \frac{2 \pi}{5} & \cos \frac{2 \pi}{5} & 0 & 0 \\
\hline 0 & 0 & 0 & \cos \frac{4 \pi}{5} & \sin \frac{4 \pi}{5} \\
0 & 0 & 0 & -\sin \frac{4 \pi}{5} & \cos \frac{4 \pi}{5}
\end{array}\right]
$$

We then have three sets of smaller matrices that each can represent the group members, since each will fulfill the multiplication table.
representation matrices for other operations in $\mathrm{C}_{5}$
$\mathrm{C}_{5} 1\left(\begin{array}{lllll}0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0\end{array}\right) \quad \mathrm{C}_{5}{ }^{2}\left(\begin{array}{lllll}0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0\end{array}\right) \quad \mathrm{C}_{5} 3\left(\begin{array}{lllll}0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0\end{array}\right)$

Each of the blocks serves as a representation of the symmetry operation since it obeys the multiplication table. In fact, for the group $C_{5}$ these blocks are the irreducible representations.
Question: is there a set of matrix representations of which the dimension can be no further reduced? -> Yes!
(Important example: set of matrices consisting just of +1 's).

| 1 | 0 | 0 | 0 | 0 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | $\cos \frac{2 \pi}{5}$ | $\sin \frac{2 \pi}{5}$ | 0 | 0 |
| 0 | $-\sin \frac{2 \pi}{5}$ | $\cos \frac{2 \pi}{5}$ | 0 | 0 |
| 0 | 0 | 0 | $\cos \frac{4 \pi}{5}$ | $\sin \frac{4 \pi}{5}$ |
| 0 | 0 | 0 | $-\sin \frac{4 \pi}{5}$ | $\cos \frac{4 \pi}{5}$ |

A quick run through matrix mathematics

Trace of a matrix: $\operatorname{Tr} \Gamma=\sum \Gamma_{\mathrm{ii}}$ trace, als known as "character"
Theorem: Similarity transforms leave the trace invariant

Definition: transpose matrix

$$
\tilde{\Gamma}_{j i}=\Gamma_{i j}
$$

Definition: Adjunct matrix $\quad \Gamma^{+}=\tilde{\Gamma}^{*}$
Definition: Hermitian matrix (self-adjunct): $\quad \Gamma^{+}=\Gamma$, i.e. $H_{i j}=H^{*}{ }_{j i}$
Definition: Unitary matrix $\quad \Gamma^{+}=\Gamma^{-1}$
Note: the rows and columns of a unitary matrix form a set of $n$ orthogonal vectors. Unitary and Hermitian matrices can always be diagonalized through a similarity transformation.

Definition: Let a set of matrices $\Gamma(R)$ be a representation of the symmetry operations $R$ in the point group $G$. If there is a similarity transformation which converts the $\Gamma(R)$ into block-diagonal form, then the blocks $\Gamma_{1}, \Gamma_{2}, \ldots$ are called irreducible representations if they cannot be further reduced.

Why are irreducible representations important? We are going to see that basis functions, e.g. electronic or vibronic wave functions, can be classified in terms of irreducible representations. This classification then decides on interactions (e.g. hybridization), term splittings, transition matrix elements etc.
in order to work on this, we need a number of central theorems ->

### 2.2 The Great Orthogonality Theorem

Theorem (GOT): Consider all inequivalent, irreducible, unitary representations $\Gamma_{i}(R)$ of a group $G=\left\{R_{1}, R_{2}, \ldots\right\}$

Then

$$
\sum_{R} \Gamma^{i}(R)_{m n}^{*} \Gamma^{j}(R)_{o p}=\frac{h}{l_{i}} \delta_{i j} \delta_{m o} \delta_{n p}
$$

where $i, j$ : index of element of representation matrix
$\mathrm{mn},(\mathrm{op})$ : row and column of $\Gamma_{i},\left(\Gamma_{j}\right)$
$h$ : order of the group
$l_{\mathrm{i}}$ dimension of the irreducible representation
$\delta$ Kronecker symbol

Irreducible representations for $C_{3 v}$

Blue boxes: $\Gamma_{1} \quad$ Red boxes: $\Gamma_{3}$

We will learn in a moment that there are three irreducible representations for the group $C_{3 v}$. The third one ( $\Gamma_{2}$ ) consists of the following "matrices" (without proof)

irreducible representation of $C_{3 v}$

|  | E | $\mathrm{C}_{3}{ }^{1}$ | $\mathrm{C}_{3}{ }^{2}$ | $\sigma_{\mathrm{v}}{ }^{\prime}$ | $\sigma_{\mathrm{v}}{ }^{\prime \prime}$ | $\sigma_{\mathrm{v}}{ }^{\prime \prime}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1st irr. rep | 1 | 1 | 1 | 1 | 1 | 1 |
| $2^{\text {nd }}$ irr. rep | 1 | 1 | 1 | -1 | -1 | -1 |
| 3 rd irr. rep | $\left(\begin{array}{ll}1 & 0 \\ 0 & 1\end{array}\right)$ | $\frac{1}{2}\left(\begin{array}{cc}-1 & -\sqrt{3} \\ -\sqrt{3} & -1\end{array}\right)$ | $\frac{1}{2}\left(\begin{array}{cc}-1 & -\sqrt{3} \\ \sqrt{3} & -1\end{array}\right)$ | $\left(\begin{array}{cc}-1 & 0 \\ 0 & 1\end{array}\right)$ | $\frac{1}{2}\left(\begin{array}{cc}1 & -\sqrt{3} \\ -\sqrt{3} & -1\end{array}\right)$ | $\frac{1}{2}\left(\begin{array}{cc}1 & \sqrt{3} \\ \sqrt{3} & -1\end{array}\right)$ |

This table can be used to apply the GOT in detail

We write down a similar table for the traces (characters) of the representation matrices, grouped by classes of symmetry operations

| $\mathrm{C}_{3 \mathrm{v}}$ | E | $2 \mathrm{C}_{3}$ | $3 \sigma_{\mathrm{v}}$ |
| :---: | :---: | :---: | :---: |
| $\Gamma_{1}$ | 1 | 1 | 1 |
| $\Gamma_{2}$ | 1 | 1 | -1 |
| $\Gamma_{3}$ | 2 | -1 | 0 |

traces or characters

## A vector space model of the representations

In order to understand the GOT, consider the following: vectors can be formed from the irreducible representations in group element space. This space is $h$ dimensional (number of group elements), and its axes can be labelled by them.

Vectors are characterized by three indices: i index of irred. rep.
m,o row of irred. rep.
n,p column of irred. rep.

Then, according to the GOT:

$$
\left(\begin{array}{c}
\Gamma_{m, n}^{1} \\
\Gamma_{m, n}^{2} \\
\ldots \\
\Gamma_{m, n}^{n}
\end{array}\right)\left(\begin{array}{c}
\Gamma_{o, p}^{1} \\
\Gamma_{o, p}^{2} \\
. . \\
\Gamma_{o, p}^{n}
\end{array}\right)=0 \quad \begin{aligned}
& \text { except for } \mathrm{i}=\mathrm{j}, \mathrm{~m}=\mathrm{n}, \mathrm{o}=\mathrm{p} \text { ! }
\end{aligned}
$$

In an h-dimensional space there can only be $h$ linearly independent vectors -> upper limit to number of matrix elements that all irr. reps. together can have:

$$
\Sigma l_{\mathrm{i}}^{2} \leq h
$$

Example of $C_{3 v}$ : Correspondence between certain subspaces of the domain on which we have constructed the matrix representations (i.e. $R_{3}$ ), and certain irred.
representations:

Transformation matrices for $C_{3 v}$ :
$E=\left(\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right)$ $C_{3}{ }^{1}=\left(\begin{array}{ccc}\begin{array}{|cc|}\hline-1 / 2 & \sqrt{3} / 2 \\ -\sqrt{3} / 2 & -1 / 2\end{array} & 0 \\ 0 & 0 & 1 \\ \hline 0 & & 0 \\ \hline\end{array}\right.$
$C_{3}{ }^{2}=\frac{1}{2}\left(\begin{array}{ccc}\left(\begin{array}{cc}-1 / 2 & -\sqrt{3} / 2 \\ \sqrt{3} / 2 & -1 / 2\end{array}\right. & 0 \\ 0 & 0 & 0 \\ \hline 0 & 1 \\ \hline\end{array}\right.$
$\sigma_{v}{ }^{\prime}=\left(\begin{array}{cc|c}-1 & 0 & 0 \\ 0 & 1 & 0 \\ \hline 0 & 0 & 1\end{array}\right)$

Blue boxes: $\Gamma_{1}$
Red boxes: $\Gamma_{3}$

The first irreducible representation only affects the $z$ coordinate, that means any length in $x$ and $y$ is conserved.
The third irreducible representation only affects the $x$ and $y$ coordinates.
The ( $x, y$ ) plane and the $z$ coordinate are not mixed by the irr. reps.
Instead of using the irreducible representation matrices we can often just use their characters - i.e. only handle numbers not matrices. For the one-dimensional irreducible representations the character in fact is the matrix (of dimension 1).

Theorem: The number of irreducible representation is equal to the number of classes of group elements

Theorem: A necessary and sufficient condition for the equivalence of two representations is that the characters are equal.

Theorem: Let $l_{i}$ be the dimension of the $i$-th irreducible representation of a group of order $h$. Then

$$
\sum l_{\mathrm{i}}^{2}=h
$$

e.g. in $C_{3 v}: 1^{2}+1^{2}+2^{2}=6=h\left(C_{3 v}\right)$. There is always a unique solution. The character of the symmetry operation E (the identity), which is the unit matrix, then gives the dimension of the irreducible representation.

## nomenclature

Nomenclature:
a) Bethe: irr. reps just named $\Gamma^{1}, \Gamma^{2}, \Gamma^{3} \ldots$; (used in mathematical treatments, for simplicity
b) Bouckaert, Smoluchowski, Wigner (BSW) (used in solid state physics)
$\Gamma_{1}, \Gamma_{15}, \Gamma_{25}, \Gamma_{25}{ }^{\prime}$ etc.

Mulliken (widely used in chemistry, spectroscopy in general)

| $A, B$ | 1-dimensional irr. reps |
| :--- | :--- |
| $E$ | 2-dimensional irr. reps |
| $T$ | 3-dimensional irr. reps |

Indices 1,2,3 no meaning
' and " symmetric or antisymmetric with respect to a horizontal mirror plane $\sigma_{h}$
g,u gerade/ungerade with respect to inversion

Example: irreducible representation $A_{1 g}$ in point group $D_{6 h}$
A means 1-dimensional, index 1 has no meaning $g$ means functions transforming as $A_{1 g}$ are even under inversion

Example: irreducible representation $T_{1 u}$ : representation matrices are 3 dimensional, and functions transforming as $\mathrm{T}_{1 u}$ are odd under inversion

### 2.3 Theorems about irreducible representations

In the following, the symbol $\chi$ means "take the trace of"
Theorem: (Little orthogonality theorem, LOT) When summing over all symmetry operations $R$ of a group $G$, the system of characters of an irreducible representation is orthogonal

$$
\sum_{R} \chi\left[\Gamma^{i}(R)\right]^{*} \chi\left[\Gamma^{j}(R)\right]=h \delta_{i j}
$$

and normalized to the order $h$ of a group:
So for a test whether a representation is irreducible one can set $i=j$ and carry out the summation example:

| $\mathrm{C}_{3 \mathrm{v}}$ | E | $2 \mathrm{C}_{3}$ | $3 \sigma_{\mathrm{v}}$ |
| :---: | :---: | :---: | :---: |
| $\Gamma_{1}$ | 1 | 1 | 1 |
| $\Gamma_{2}$ | 1 | 1 | -1 |
| $\Gamma_{3}$ | 2 | -1 | 0 |

$\Gamma^{3}$


$$
\sum_{R} \chi\left[\Gamma^{i}(R)\right]^{*} \chi\left[\Gamma^{j}(R)\right]=h \delta_{i j}
$$

| $\mathrm{C}_{3 \mathrm{v}}$ | 1 E | $2 \mathrm{C}_{3}$ | $3 \sigma_{v}$ |
| :---: | :---: | :---: | :---: |
| $\Gamma_{1}$ | 1 | 1 | 1 |
| $\Gamma_{2}$ | 1 | 1 | -1 |
| $\Gamma_{3}$ | 2 | -1 | 0 |
|  |  |  |  |

example: $\Gamma_{1}, \Gamma_{3}$

$$
[1 \cdot 2] \cdot 1+[1 \cdot(-1)] \cdot 2]+[1 \cdot 0] \cdot 3=0
$$

## A typical character table

## group symbol

Mulliken notation for irr.reps

| $A, B$ | 1-dimensional irr. reps |
| :--- | :--- |
| $E$ | 2-dimensional irr. reps |
| $T$ | 3-dimensional irr. reps |

Indices 1,2,3 no meaning
' and " symmetric or antisymmetric with respect to a horizontal mirror plane $\sigma_{h} 9,4$ gerade/ungerade with respect to inversion

So the point group $C_{4 v}$ has five


And these are their characters, i.e. the traces of the representation matrices
dimensional, one is twodimensional.

## Example of nomenclature

The C 1s NEXAFS spectrum of benzene below threshold: Rydberg or valence character of the unoccupied $\sigma$-type orbitals
R. Püttner ${ }^{\text {a,* }}$, C. Kolczewski ${ }^{\text {b }}$, M. Martins ${ }^{\text {a, }, ~ A . S . ~ S c h l a c h t e r ~}{ }^{\text {c }}$, G. Snell ${ }^{\text {c,d }}$, M. Sant'Anna ${ }^{\text {c,2 }}$, J. Viefhaus ${ }^{\text {b }}$, K. Hermann ${ }^{\text {b }}$, G. Kaindl ${ }^{\text {a }}$
the observation that the experimental excitation energies are higher than the calculated values by $\cong 150-200 \mathrm{meV}$.

Peaks A and D have been assigned in the literature to the transitions C $1 \mathrm{~s}^{-1} \pi \pi^{*} \mathrm{e}_{2 \mathrm{u}}$ and $\mathrm{C} 1 \mathrm{~s}^{-1} \pi \mathrm{~b}_{2 \mathrm{~g}}$, respectively. The assignments given in the literature for peaks B and C agree only in assigning $\sigma$-symmetry to the finalstate orbitals, i.e., they exhibit no node in the molecular plane. The detailed character of these orbitals, however, has been described quite differently, as summarized in Table 1.

In the presence of a localized core hole, the symmetry of benzene is reduced from $D_{6 h}$ to $C_{2 v}$. In this case, the degenerate orbital $\mathrm{e}_{2 \mathrm{u}}$ (peak A) splits into two orbitals with $b_{1}$ and $a_{2}$ symmetry. Furthermore, an excitation from the localized core hole into th $\mathrm{a}_{2}$ orbital is forbidden by dipole-selection rules. Thus, the main peak A at 285.1 eV can be assigned to the $\mathrm{C} 1 \mathrm{~s}^{-1} \pi{ }^{*} \mathrm{e}_{2 \mathrm{u}}\left(\mathrm{b}_{1}\right)$ transition. This transition exhibits a rich fine structure

## Reduction of reducible representations

We have seen how large dimensional representations can be obtained by considering spatial coordinates of atoms etc. Obviously we would like to find out how to decompose these into the constituent irreducible representations.

Theorem: The character of a reducible representation is the sum of the characters of the irreducible representations that make up the reducible representation:

$$
\begin{equation*}
\chi\left[\Gamma^{r e d}(R)\right]=\sum_{j} a_{j} \chi[\Gamma(R)] \tag{1}
\end{equation*}
$$

$a_{j}$ is the number of times $\Gamma^{j}$ appears in $\Gamma^{r e d}$. This theorem becomes clear if we look at the block-diagonal form of a representation matrix, and remember that the character of a matrix does not change upon a similarity transformation.

Now we multiply (1) by $\sum_{j} \chi[\Gamma(R)] *:$

$$
\begin{gathered}
\sum_{R} \chi\left[\Gamma^{j^{\prime}}(R)\right] * \chi\left[\Gamma^{r e d}(R)\right]=\sum_{R} \sum_{j} a_{j} \chi\left[\Gamma^{j^{\prime}}(R)\right] * \chi\left[\Gamma^{j}(R)\right] \quad \begin{array}{l}
\text { apply the "Little } \\
\text { Orthogonality Theorem" }
\end{array} \\
\sum_{R} \chi\left[\Gamma^{j^{\prime}}(R)\right] * \chi\left[\Gamma^{r e d}(R)\right]=h \sum_{j} a_{j} \delta_{i j^{\prime}} \quad \mid j \rightarrow j^{\prime} \\
=h \sum_{j} a_{j} \delta_{j j^{\prime}}=h \cdot a_{j}
\end{gathered}
$$

## Reduction of reducible representations

Thus:

$$
\sum_{R} \chi\left[\Gamma^{j^{\prime}}(R)\right] * \chi\left[\Gamma^{r e d}(R)\right]=h a_{j}
$$

-> find out how many times an irreducible representation is contained in a reducible representation:
Theorem: A unique decomposition of a reducible representation into irred. reps. can be obtained from its characters

$$
a_{j}=\frac{1}{h} \sum_{R} \chi\left[\Gamma^{j}(R)^{*}\right] \chi\left[\Gamma^{\text {red }}(R)\right]
$$

In the matrix on the right hand side, $\Gamma^{1}$ is contained twice $\left(a_{j}\left(\Gamma^{1}\right)=2\right), \Gamma^{2}$ is also contained twice, and $\Gamma^{3}$ is contained once.

$$
\left(\begin{array}{ccccccccc}
\Gamma_{1}^{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & \Gamma_{1}^{1} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & \Gamma_{11}^{2} & \Gamma_{12}^{2} & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & \Gamma_{21}^{2} & \Gamma_{22}^{2} & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & \Gamma_{11}^{2} & \Gamma_{12}^{2} & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & \Gamma_{21}^{2} & \Gamma_{22}^{2} & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & \Gamma_{11}^{3} & \Gamma_{12}^{3} & \Gamma_{13}^{3} \\
0 & 0 & 0 & 0 & 0 & 0 & \Gamma_{21}^{3} & \Gamma_{22}^{3} & \Gamma_{23}^{3} \\
0 & 0 & 0 & 0 & 0 & 0 & \Gamma_{31}^{3} & \Gamma_{32}^{3} & \Gamma_{33}^{3}
\end{array}\right)
$$

Reduction of reducible representations made easy though the internet
type in the characters of the reducible representation that you are working on, and get the resulting decomposition

## Reduction formula for point group $\mathbf{D}_{\mathbf{3}} \mathbf{h}$

Type of representation
$\odot \Gamma_{\text {general }} \bigcirc \Gamma_{3 \mathrm{~N}} \bigcirc \Gamma_{\text {vib }}$

| $\mathbf{E}$ | $\mathbf{2 C}_{\mathbf{3}} \mathbf{( z )}$ | $\mathbf{3 C}_{\mathbf{2}}$ | $\boldsymbol{\sigma}_{\mathbf{h}} \mathbf{( x y )}$ | $\mathbf{2 S}_{\mathbf{3}}$ | $\mathbf{3 \sigma}_{\mathbf{v}}$ |
| :---: | :--- | :--- | :--- | :--- | :--- |
| 3 | $\mid-1$ | 2 | 0 | 0 | 0 |

## Submit Reset

http://symmetry.jacobs-university.de/cgi-bin/group.cgi?group=603\&option=4
reduction of representations: a worked example

| $C_{2 v}$ | $E$ | $C_{2}$ | $\sigma_{v}(x z)$ | $\sigma_{v}(x z)$ |
| :--- | ---: | ---: | ---: | ---: |
| $\mathrm{A}_{1}$ | 1 | 1 | 1 | 1 |
| $\mathrm{~A}_{2}$ | 1 | 1 | -1 | -1 |
| $\mathrm{~B}_{1}$ | 1 | -1 | 1 | -1 |
| $\mathrm{~B}_{2}$ | 1 | -1 | -1 | 1 |
| $\Gamma^{\text {red }}$ | 3 | 1 | 3 | 1 |

## reduce 「red "by inspection"

$\Gamma^{\text {red }}=2 \mathrm{~A}_{1}+\mathrm{B}_{1}$
correctly, use formula $\quad a_{j}=\frac{1}{h} \sum_{R} \chi\left[\Gamma^{j}(R)^{*}\right] \chi\left[\Gamma^{\text {red }}(R)\right]$

$$
\begin{aligned}
& a_{\mathrm{A} 1}=1 / 4([3 \cdot 1 \cdot 1+1 \cdot 1 \cdot 1+3 \cdot 1 \cdot 1+1 \cdot 1 \cdot 1]=2 \\
& \mathrm{a}_{\mathrm{A} 2}=1 / 4([3 \cdot 1 \cdot 1+1 \cdot 1 \cdot 1+3 \cdot(-1) \cdot 1+1 \cdot(-1) \cdot 1]=0 \\
& \mathrm{a}_{\mathrm{B} 1}=1 / 4([3 \cdot 1 \cdot 1+1 \cdot(-1) \cdot 1+3 \cdot 1 \cdot 1+1 \cdot(-1) \cdot 1]=1 \\
& \mathrm{a}_{\mathrm{B} 2}=1 / 4([3 \cdot 1 \cdot 1+1 \cdot(-1) \cdot 1+3 \cdot(-1) \cdot 1+1 \cdot 1 \cdot 1]=0
\end{aligned}
$$

## Exercises

1. Molecules with a mirror plane, a center of inversion, or an improper axis of rotation cannot be optically active (i.e. exhibit circular dichroism) - those that have not may be optically active. Which of the following molecules may be optically active?

a.

d.

b.

e.
2. Assign each molecule below to the proper point group
a. $\mathrm{O}=\mathrm{C}=\mathrm{C}=\mathrm{C}=\mathrm{O}$ (linear)
b. HF
c. $\mathrm{IF}_{7}$

d.

e. $\mathrm{TeCl}_{4}$

f. $\mathrm{Cl}^{\mathrm{Sb}=\mathrm{O}}$
g. trans-dichloroethylene
h. cyclopropane


3. What group is obtained by adding to or deleting from each of the following groups the indicated symmetry operation? Use the character table.

| $\mathrm{C}_{3}$ plus i | $\mathrm{S}_{6}$ minus i | $\mathrm{D}_{3 \mathrm{~d}}$ minus $\mathrm{S}_{6}$ |
| :--- | :--- | :--- |
| $\mathrm{C}_{3 \mathrm{v}}$ plus i | $\mathrm{T}_{\mathrm{d}}$ plus i | $\mathrm{S}_{4}$ plus i |
| $\mathrm{C}_{5 \mathrm{v}}$ plus $\sigma_{\mathrm{h}}$ | $\mathrm{C}_{3}$ plus $\mathrm{S}_{6}$ | $\mathrm{C}_{3 \mathrm{~h}}$ minus $\mathrm{S}_{6}{ }^{5}$ |

4. Decompose the following reducible representations of the point group D4:

| $\mathrm{D}_{4}$ | E | $2 \mathrm{C}_{4}$ | $\mathrm{C}_{2}$ | $2 \mathrm{C}_{2^{\prime}}$ | $2 \mathrm{C}_{2^{\prime \prime}}$ |
| ---: | ---: | ---: | ---: | ---: | ---: |
| $\Gamma_{1}$ | 3 | -1 | -1 | 1 | -1 |
| $\Gamma_{2}$ | 2 | 2 | 2 | 0 | 0 |
| $\Gamma_{3}$ | 8 | 0 | 0 | 0 | 0 |
| $\Gamma_{4}$ | 4 | -2 | 0 | -2 | 2 |

## allene



Figure 3.11 The allene molecule.
5. What is the point group for each of the following substituted cyclobutanes? Assume that (idealized) $\mathrm{C}_{4} \mathrm{H}_{8}$ itself has $\mathrm{D}_{4 \mathrm{~h}}$ symmetry and that replacing an H by X or Y changes no other structure parameters.


e)

g)

h)

I)


