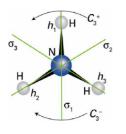
# Introduction to Group Theory with Applications in Molecular and Solid State Physics

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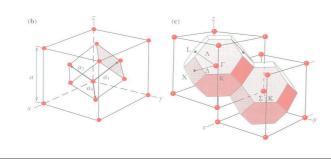
Symmetry - old concept, already known to Greek natural philosophy

Group theory: mathematical theory, developed in 19th century

Application to physics in the 1920's : Bethe 1929, Wigner 1931,

Kohlrausch 1935

Why apply group theory in physics?



"It is often hard or even impossible to obtain a solution to the Schrödinger equation - however, a large part of qualitative results can be obtained by group theory. <u>Almost all the rules of spectroscopy</u> <u>follow from the symmetry of a problem</u>" E.Wigner, 1931

# Outline

- 1. Symmetry elements and point groups
- 1.1. Symmetry elements and operations
- 1.2. Group concepts
- 1.3. Classification of point groups, including the Platonic Solids
- 1.4. Finding the point group that a molecule belongs to

### 2. Group representations

- 2.1. An intuitive approach
- 2.2. The great orthogonality theorem (GOT)
- 2.3. Theorems about irreducible representations
- 2.4. Basis functions
- 2.5. Relation between representation theory and quantum mechanics
- 2.6. Character tables and how to use them
- 2.7. Examples: symmetry of physical properties, tensor symmetries
- 3. Molecular Orbitals and Group Theory
- 3.1. Elementary representations of the full rotation group
- 3.2. Basics of MO theory
- 3.3. Projection and Transfer Operators
- 3.4. Symmetry of LCAO orbitals
- 3.5. Direct product groups, matrix elements, selection rules
- 3.6. Correlation diagrams

### 4. Vibrations in molecules

- 4.1. Number and symmetry of normal modes in molecules
- 4.2. Vibronic wave functions
- 4.3. IR and Raman selection rules
- 5. Electron bands in solids
- 5.1. Symmetry properties of solids
- 5.2. Wave functions of energy bands
- 5.3. The group of the wave vector
- 5.4. Band degeneracy, compatibility

If you come up with a symmetryrelated problem from your own work, bring it in and we can discuss it (time permitting) <u>At the end of this week, having followed the course, you should be</u> <u>able to</u>

- determine the point group of a solid object such as a molecule or a crystalline unit cell or the space group of a translational periodic pattern
- determine the symmetry properties (in terms of irreducible representations) of
  - \* tensorial properties of solids and derive those tensor elements which are "zero by symmetry"
  - \* atomic wave functions in a crystal field
  - \* molecular orbital wave functions
  - $\star$  molecular vibrational wave functions
  - \* Bloch waves in a periodic solid
- derive symmetry selection rules for vibrational (infrared, Raman) and electronic (Vis-UV, photoemission) transition matrix elements
- identify molecular orbital and electronic band degeneracies and apply the "no-crossing-rule"
- and much more...

What we do <u>not</u> cover here is the Complete Nuclear Permutation Inversion Group see book by P. R. Bunker and Per Jensen: Fundamentals of Molecular Symmetry, IOP Publishing, Bristol, 2004 (ISBN 0-7503-0941-5). However, given the successful mastering of the material discussed in this block course you should be able to extend your knowledge to this topic

# Material about symmetry on the Internet

Character tables: http://symmetry.jacobs-university.de/

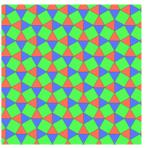
The platonic solids: http://www.csd.uwo.ca/~morey/archimedean.html

Wallpaper groups: http://www.clarku.edu/~djoyce/wallpaper/seventeen.html

Point group symmetries: http://www.staff.ncl.ac.uk/j.p.goss/symmetry/index.html

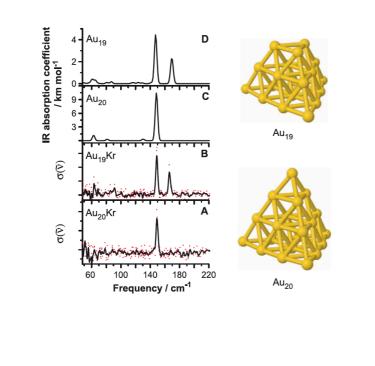
Students Online Resources of the book by Atkins & de Paula: "Physical Chemistry", 8e at http://www.oup.com/uk/orc/bin/9780198700722/01student/tables/tables\_for\_group\_theory.pdf

Other symmetry-related links: http://www.staff.ncl.ac.uk/j.p.goss/symmetry/links.html



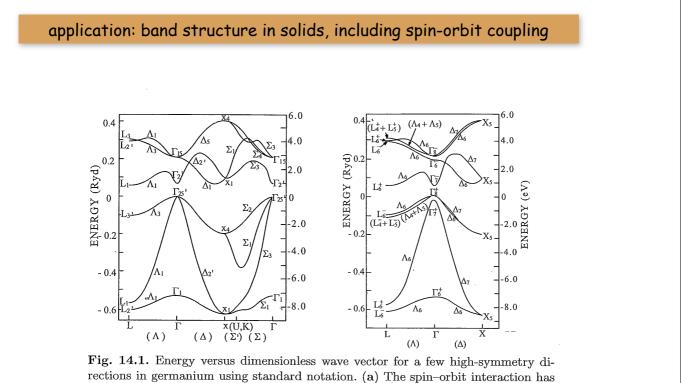
example of a wallpaper group; applies to surface problems

### application: vibrational transitions in metal clusters



Photoelectron spectroscopy and quantum mechanical calculations have shown that anionic Au<sub>20</sub> - is a pyramid and has Td symmetry. This structure has also been suggested to be the global minimum for neutral Au<sub>20</sub> (14). The FIR-MPD spectrum we measured of the Au<sub>20</sub>Kr complex (Fig. 2A) was very simple, with a dominant absorption at 148 cm-1, which already pointed to a highly symmetric structure. The calculated spectrum of tetrahedral Au20 was in agreement with the experiment (Fig. 2C)... The strong absorption at 148 cm-1 corresponds to a triply degenerate vibration (t<sub>2</sub>) in bare Au<sub>20</sub> with T<sub>d</sub> symmetry. Theory predicts a truncated trigonal pyramid to be the minimum energy structure for neutral Au<sub>19</sub> (27), for which the removal of a corner atom of the Au20 tetrahedron reduces the symmetry from T<sub>d</sub> to C<sub>3v</sub>. As a direct consequence, the degeneracy of the t2 vibration of Au20 is lifted, and this mode splits into a doubly degenerate vibration (e) and a nondegenerate vibration (a1) inAu19. This splitting was observed in the vibrational spectrum of neutral Au<sub>19</sub> (Fig. 2)... The truncated pyramidal structure of Au19 can thus be inferred directly from the IR spectrum.

Structures of Neutral Au<sub>7</sub>, Au<sub>19</sub>, and Au<sub>20</sub> Clusters in the Gas Phase Ph. Gruene, D. M. Rayner, B. Redlich, 3 A. F. G. van der Meer, J. T. Lyon, G. Meijer, A. Fielicke, Science **329**, 5889 (2008)



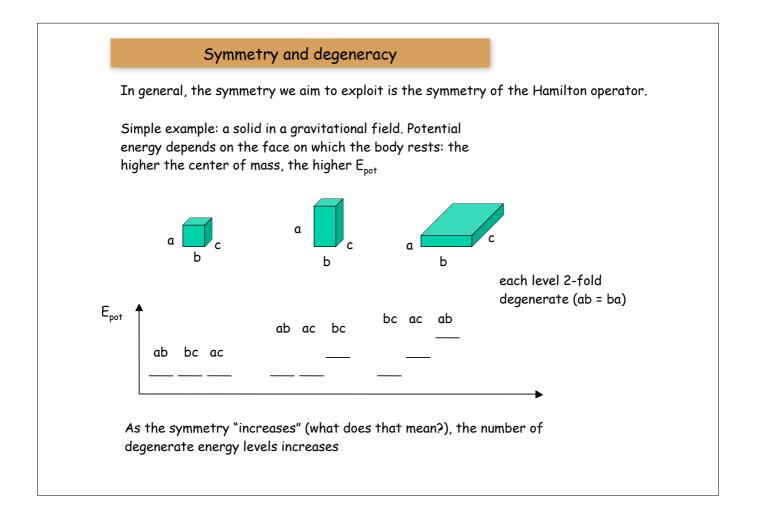
rections in germanium using standard notation. (a) The spin–orbit interaction has been neglected. (b) The spin–orbit interaction has been included and the bands are labeled by the double group representations

from: Dresselhaus, Dresselhaus and Jorio, Group Theory - Application to the Physics of Condensed Matter Springer 2008 (figure given without references)

### Literature

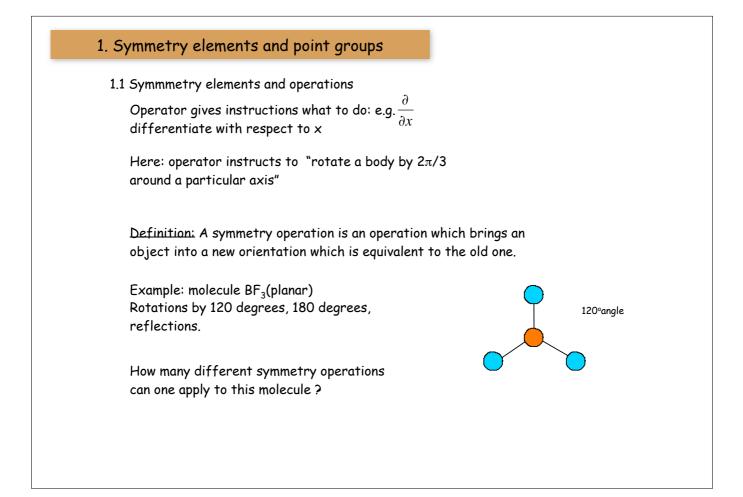
Tinkham, M "Group theory and Quantum mechanics", McGraw-Hill 1964 Heine, V "Group theory in Quantum Mechanics", Pergamon Press Oxford 1960 Wigner, E "Gruppentheorie" Vieweg, Nachdruck von 1931 Joshi, A "Group Theory for Physicists", Wiley Eastern New Delhi 1977 Cotton, F. A. "Chemical Applications of Group Theory", Wiley 2nd edition 1971 Burns, G. "Introduction to Group Theory with Applications" Academic Press 1977 Harris, D.C., Bertolucci, M.D. "Symmetry and Spectroscopy" Oxford University Press 1978 Inui, T, Tanabe, Y, Onodera, Y ", Group Theory and its Applications in Physics", Springer 1996 Vincent, A "Molecular Symmetry and Group Theory", Wiley 1977 Cornwell, J.F. "Group theory in Physics", Academic Press London 1984 Weyl, Hermann "The Theory of Groups and Quantum Mechanics", Translated edition Dover 1950 Wilson, E. B., Decius, J.C., Cross, P.C., "Molecular Vibrations" Dover New York 1955 Stiefel, E., Fässler, A., "Gruppentheoretische Methoden und ihre Anwendungen", Teubner Stuttgart 1979 Dresselhaus, M, Dresselhaus G., Jorio A., "Group Theory - Application to the Physics of Condensed Matter" Springer 2008 Overviews in books: Yu, P.Y., Cardona, M. "Fundamentals of Semiconductors" Springer 1999: Chapter 2: A Pedestrian's Guide to Group Theory,

Atkins, P., Friedmann, R. "Molecular Quantum Mechanics" Oxford University Press, 2005: Chapter 5: Group Theory,

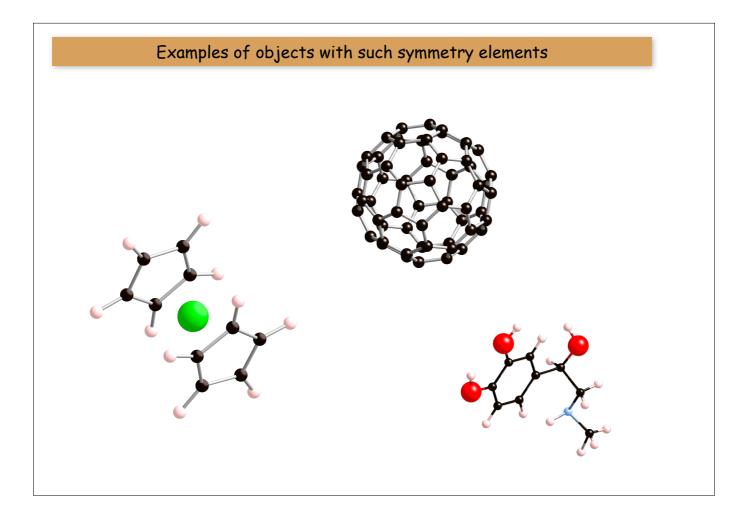


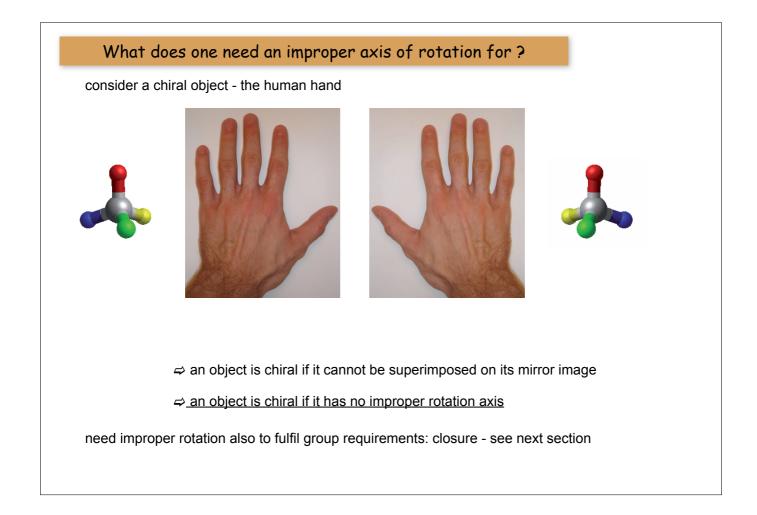
Why should we care about symmetry properties in physics and chemistry?

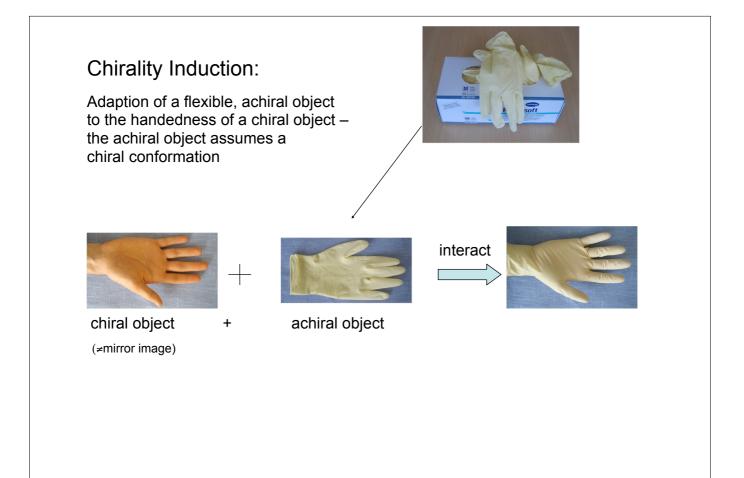
- Think of an surface system, e.g. a nickel atom in a (111) surface. How should we classify the d orbitals of that atom ?  $d_z^2$  etc.?
- How should we classify molecular vibrations? In terms of their geometrical distortions?
- How can we classify electronic states in a molecular orbital?



1. Identity: Symbol E	Transformation matrix: $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}$
2. Reflection: Symbol on nomenclature: $\sigma'$ , $\sigma''$ etc, calso $\sigma_v$ for vertical, $\sigma_h$ for	br $\sigma_{xy}$ for reflection in the xy plane; $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$
3. Rotation around an axis (here around z axis)	
Nomenclature: C <sub>n</sub> <sup>m</sup> : appl	y a $C_n$ rotation m times $(20 0 1)(z)(z)$
4. Improper rotation: (Reflection in mirror plane follow around z axis))	wed by rotation normal to mirror plane, (here $\begin{pmatrix} \cos(2\pi/n) & \sin(2\pi/n) & 0 \\ -\sin(2\pi/n) & \cos(2\pi/n) & 0 \\ 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$
	ly a S <sub>n</sub> rotation m times







### 1.2 Group concepts

A group in the mathematical sense is a set of elements  $\{a,b,c\}$  for which an operation  $\odot$  is defined such that a third element is associated with any ordered pair ("multiplication"). This operation must satisfy four requirements (group axioms).

### 1.2.1 Group axioms

- 1. Closure: the product of two elements is again an element of the group
- 2. One of the elements of the group is the unit element, such that  $E \odot A = A \odot E = A$
- 3. To each element A there is an inverse element A  $^{-1}$  such that A  $\odot$  A  $^{-1}$ = A  $^{-1}$   $\odot$  A = E
- 4. The associativity law holds:  $A \odot (B \odot C) = (A \odot B) \odot C$
- Notice: If the group members commute, i.e.  $A \odot B = B \odot A$  for all group members, then the group is said to be Abelian.

Number of elements in the group is called "order of the group" h.

### 1.2.2. Examples of groups a.) The set of all integers with addition as operation (an infinite group). E = 0 $A^{-1} = -A$ b.) The set of all n x n matrices with nonvanishing determinants Operation is matrix multiplication, unit element is the unit matrix. Inverse of a matrix A is $A^{-1}$ c.) The set of symmetry operations E, $C_2$ , $\sigma_{x_7}$ , $\sigma_{y_7}$ 1). The group is closed. This applies to any symmetry group, but it must be demonstrated by means of a multiplication table $\sigma_{vz}$ Е $C_2$ $\sigma_{xz}$ Е Е $C_2$ $\sigma_{\text{yz}}$ $\sigma_{\text{xz}}$ $C_2$ $C_2$ Е $\sigma_{vz}$ $\sigma_{xz}$ This object transforms Е $C_2$ $\sigma_{xz}$ $\sigma_{xz}$ $\sigma_{yz}$ into an equivalent spatial $C_2$ Е arrangement when $E, C_2$ , $\sigma_{yz}$ $\sigma_{yz}$ $\sigma_{xz}$ $\sigma_{xz}$ , and $\sigma_{vz}$ are applied multiplication table

But one can also say that the closure axiom is fulfilled since any of the products of symmetry operations transforms the object into an equivalent conformation

2. There is a unit element E, the identity

3. There is an inverse to each element (see multiplication table)

4. Associativity holds

In the first part of the lecture course: operations that leave a point in space fixed -> "point groups"

When including translations, glide planes and screw axes -> "space groups"

### 1.2.3 Multiplication tables

As seen above, group axioms can be tested by means of a multiplication table:

Consider this set of matrices

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix}$$
  
E A B C D F  
From Burns p. 23

The multiplication table is then: (try it out if you don't believe it)

	E	A	В	С	D	F
E	Е	А	В	С	D	F
A	А	В	Е	F	С	D
B	В	E	А	D	F	С
С	С	D	F	E	А	В
D	D	F	С	В	Е	А
F	F	С	D	А	В	Е

Note: As the group is non-Abelian, the table does not have to be symmetric.

<u>Theorem:</u> Every element of the group occurs only once in each row or column of the multiplication table.

# 1.2.4 Further group concepts

### a.) Subgroup

Definition: The group S is a subgroup of the group G if all elements of S are in G, and if S satisfies the group axioms.

It can be shown that the ratio of group orders s and g, g/s is an integer.

### b.) Conjugated elements

Let A, B, and C be members of a group G

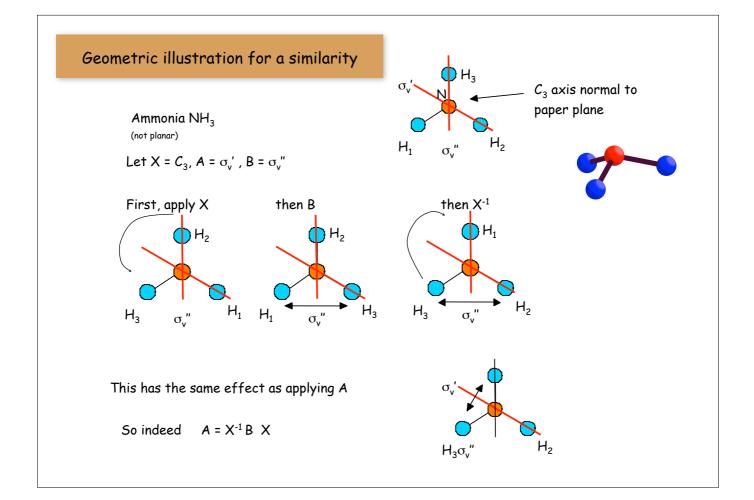
Definition: A and B are conjugated, if they can be connected by a similarity transformation

 $A = X^{-1}B X$ , where X is also a member of the group.

- Every element is conjugated with itself.

- If A is conjugated with B, B is conjugated with A.

- If A is conjugated with B and C, then B and C are also conjugated.



<u>c.) Classes of group elements</u> Definition: Group elements that are conjugated to one another form a **class**.

d.) Isomorphism

Definition: Two groups are isomorphic if there is a 1:1 relation between their elements. Groups are identical in the mathematical sense.

e.) Homomorphism

Definition: Two groups are homomorphic if to one element of group  $G_1$  several elements of group  $G_2$  are associated.

 $G_1 = \{A_1, A_2, A_3, \dots \}$ 

 $G_2 = \{B_1, B_2, B_3, B_4, B_5, B_6, B_7, B_8, B_9, \dots \}$ 

Homomorphism preserves products, i.e. the multiplication table !

Further reading: Serge Lang "Linear Algebra", Paul Halmos "Finite Dimensional Vector Spaces"

		E	C <sub>2</sub>	σ,'	σ,"	
	E	E	C <sub>2</sub>	ν σ,'	ν σ <sub>v</sub> "	
	C <sub>2</sub>	C <sub>2</sub>	E	σ <sub>v</sub> "	$\sigma_{v}'$	
	$\sigma_{v}'$	$\sigma_{v}'$	σ,"	E	C <sub>2</sub>	
Example: G1 = {+1, -1}	$\sigma_{v}^{"}$	$\sigma_v$ "	$\sigma_{v}'$	C <sub>2</sub>	Е	
N N						
$G_2 = \{E, C_2, \sigma_v', \sigma_v''\}$		1	1	-1	-1	
	1	1	1	-1	-1	
	1	1	1	-1	-1	
	1	1 -1	1 -1	-1 1	-1 1	

### f.) Multiplication of groups

Definition: The direct product of two groups which have only E in common is the group of products of elements  $A_{i} \cdot B_{j}$ . If the two groups have orders h and g, the direct product group has h·g elements.

g.) Generator of a group

Definition: The generators of a group are those elements from which all elements of a group can be derived. Example:  $G = \{C_6^1, C_6^2, C_6^3, C_6^4, C_6^5, C_6^6 = E\}$ . All elements can be derived form successive application of  $C_6^1$ .

## 1.3 Classification of point groups (in Schoenflies notation)

 $\frac{1.3.1 \text{ The groups } C_1, C_s, C_i.}{C_1: \text{ element } E(C_1)}$  $C_s: E \text{ and a mirror plane}$ 

 $C_i$ : E and an inversion centre ( $C_i$ )

1.3.2 The groups  $C_n$ 

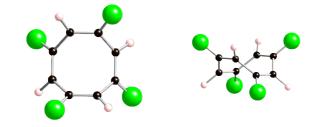
Contain E and a rotation by  $2\pi/n$ .  $C_n$  generates  $C_n^2, C_n^3, C_n^{n-1}$ .

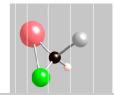
Example:  $C_2$ = {E, $C_2$ }  $H_2O_2$ 

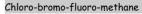
1.3.3 The groups  $S_n$ 

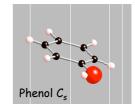
Contain E and only an improper rotation by  $2\pi/n$ . If there are other symmetry elements, the object does not belong to  $S_n$ .

Example: 1,3,5,7 tetrafluorocyclooctatetraene S<sub>4</sub>









### 1.3.4 The groups C<sub>nv</sub> (frequent !)

Contain E,  $C_n$  and n mirror planes  $\sigma_v$  which all contain the  $C_n$  axis.

v stands for vertical. The rotation axis corresponding to  $C_n$  with the largest n is always taken as vertical: Example:  $C_{2v}$ = {E,  $C_2$ ,  $\sigma_v'$ ,  $\sigma_v''$ }

1.3.5 The groups  $C_{nh}$ 

Contain E,  $C_n$  and a horizontal mirror plane. h stands for horizontal. The rotation axis corresponding to  $C_n$  with the largest n is always taken as vertical. For n even an inversion center exists.



Ammonia  $C_{3v}$ 

planar hydrogen peroxide  $C_{2h}$ 

1.3.6The groups D<sub>n</sub>

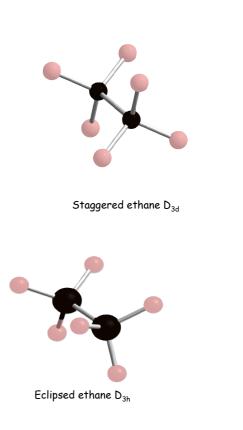
Groups contain E,  $C_n$  and n  $C_2$  axes normal to  $C_n$ 

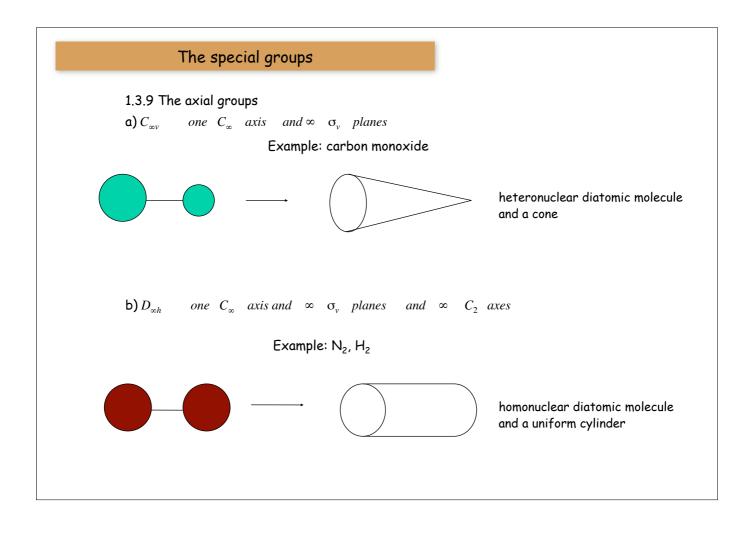
1.3.7 The groups D<sub>nd</sub>

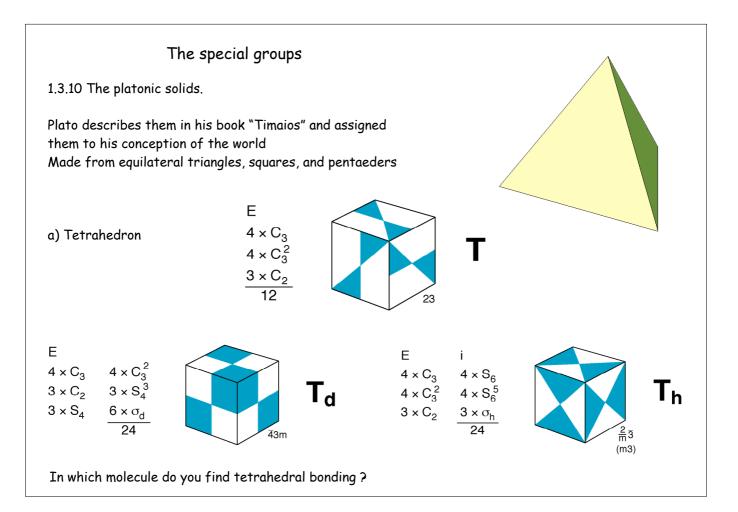
Groups contain E,  $C_n$ , n  $C_2$ axes normal to  $C_n$ , and n mirror planes  $\sigma_d$  which bisect the angles between the  $C_2$ axes. If n is odd there is also an inversion center.

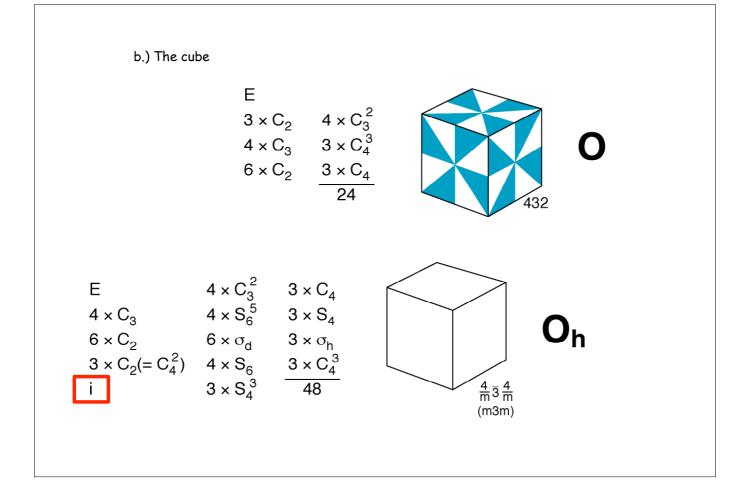
### 1.3.8 The groups D<sub>nh</sub>

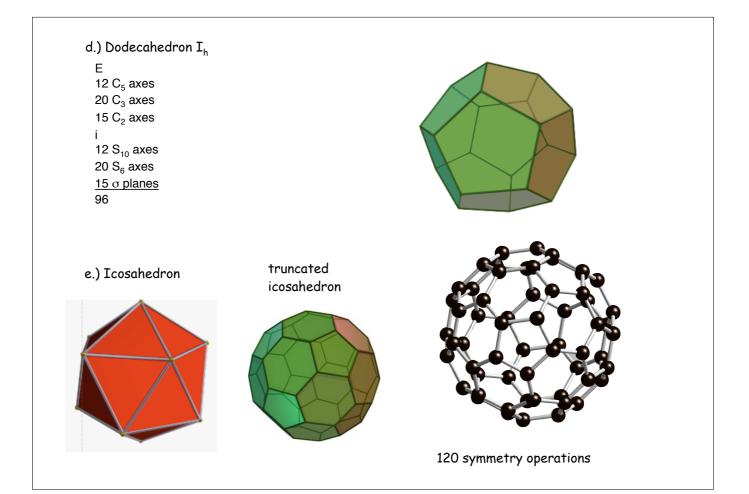
Groups contain E,  $C_n$ , n  $C_2$ axes normal to  $C_n$ , one horizontal mirror plane. For even n there is also an inversion center, and there are n/2 mirror planes  $\sigma_d$ which bisect the angles between the  $C_2$ axes, and n/2 mirror planes that contain the  $C_2$ 'axes. For n odd there are n mirror planes that contain the  $C_2$  axes.

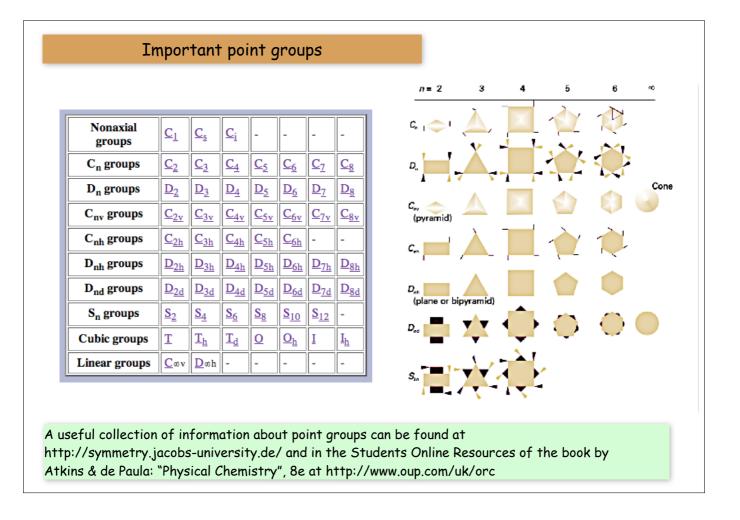


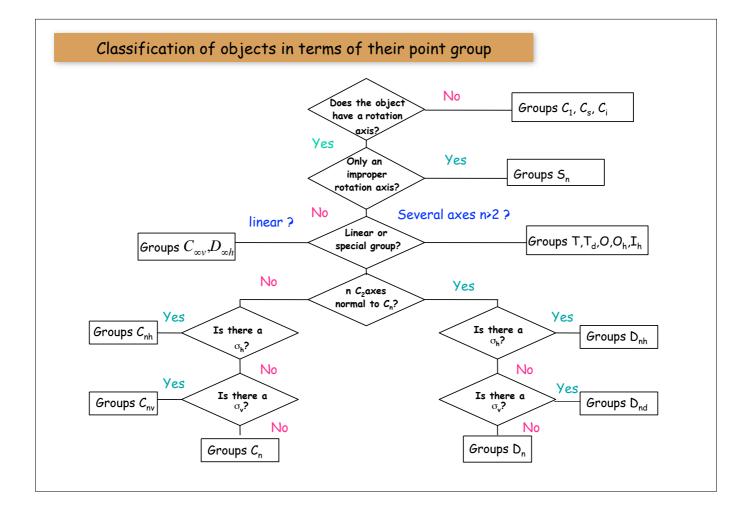












## 2. Group representations

2.1 An intuitive approach

Aim: a) Represent symmetry operations by matrices

b) Find "irreducible representations", i.e. matrices of lowest dimensions

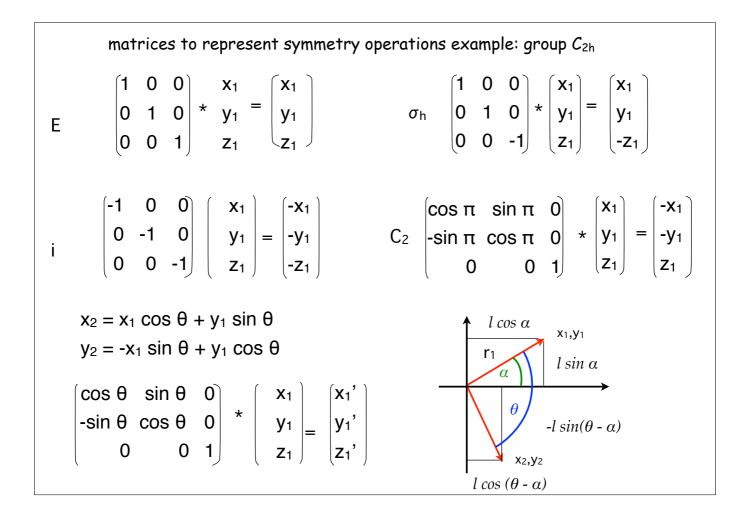
<u>Definition</u>: A group of square matrices  $\Gamma(a_i)$  is called a representation of a point group if there is an isomorphism or a homomorphism between the matrices  $\Gamma(a_i)$  and the symmetry operations of the point group.

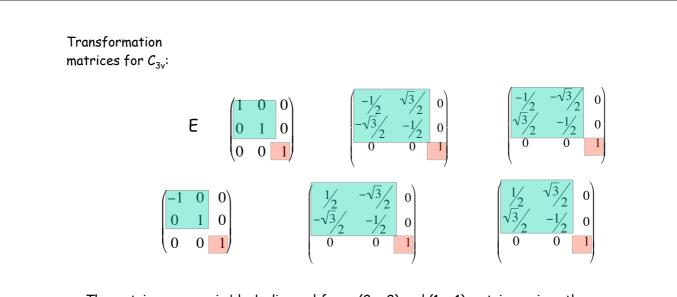
One way to obtain matrix representation: Cartesian transformation matrices - we've done this before

Transformation $E_{3v}$ :	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$C_{3}^{1} \begin{pmatrix} -\frac{1}{2} & \sqrt{3}/2 \\ -\sqrt{3}/2 & -\frac{1}{2} \\ 0 & 0 \end{pmatrix}$	$ \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}  \boldsymbol{C_3^2}  \begin{pmatrix} - \\ \sqrt{2} \\ \sqrt{2} \\ 0 \end{pmatrix} $	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
$\sigma_{v}' = \begin{pmatrix} -1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0\\0\\1 \end{pmatrix} \qquad \sigma_{v}''$	$ \begin{pmatrix} \frac{1}{2} & -\sqrt{3}/2 & 0\\ -\sqrt{3}/2 & -\frac{1}{2} & 0\\ 0 & 0 & 1 \end{pmatrix} $	$\sigma_{v}^{""} \begin{pmatrix} \frac{1}{2} \\ \sqrt{3} \\ 0 \end{pmatrix}$	$\begin{pmatrix} \sqrt{3}/2 & 0 \\ 2 & -\frac{1}/2 & 0 \\ 0 & 1 \end{pmatrix}$

# matrix representations

One way to obtain matrix representation: Cartesian transformation matrices we've done this before





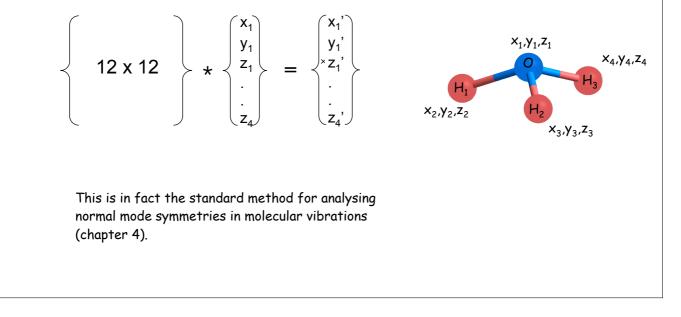
The matrices appear in block-diagonal form:  $(2 \times 2)$  and  $(1 \times 1)$  matrices, since the (x,y) and z coordinate transform into themselves always in  $C_{3v}$ .

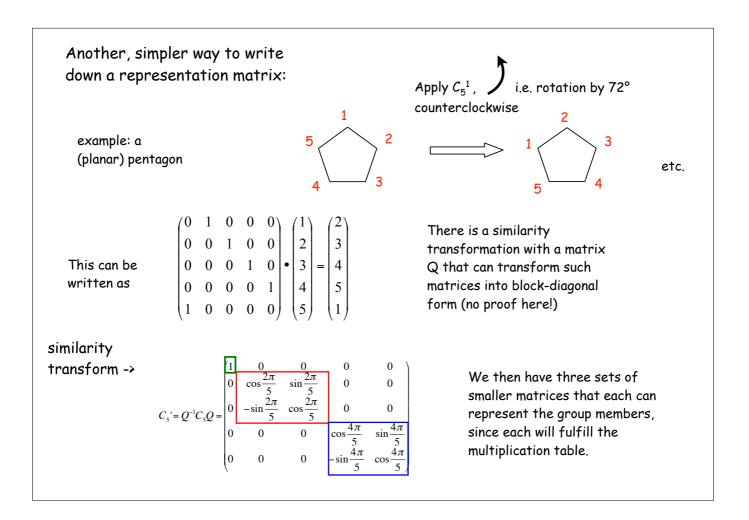
The matrices appear in block-diagonal form:  $(2 \times 2)$  and  $(1 \times 1)$  matrices, since the (x,y) and z coordinate transform into themselves always in  $C_{3y}$ .

Question: Are there more representations ? And more irreducible representations ?

How many in all ?

One can also take higher dimension representations: e.g. attach coordinates to each atom in a molecule:





 $C_{5^{1}} \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \end{pmatrix} \qquad C_{5^{2}} \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{pmatrix} \qquad C_{5^{3}} \begin{pmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{pmatrix}$ 

Each of the blocks serves as a representation of the symmetry operation since it obeys the multiplication table. In fact, for the group  $C_5$  these blocks are the irreducible representations.

Question: is there a set of matrix representations of which the dimension can be no further reduced? -> Yes!

(Important example: set of matrices consisting just of +1's).

1	0	0	0	0
0	$\cos\frac{2\pi}{5}$	$\sin \frac{2\pi}{5}$	0	0
0	$-\sin\frac{2\pi}{5}$	$\cos\frac{2\pi}{5}$	0	0
0	0	0	$\cos\frac{4\pi}{5}$	$\sin\frac{4\pi}{5}$
0	0	0	$-\sin\frac{4\pi}{5}$	$\cos\frac{4\pi}{5}$

## A quick run through matrix mathematics

Trace of a matrix: Tr  $\Gamma$  =  $\Sigma \Gamma_{ii}$  trace, als known as "character"

Theorem: Similarity transforms leave the trace invariant

Definition: transpose matrix $\tilde{\Gamma}_{ji} = \Gamma_{ij}$ Definition: Adjunct matrix $\Gamma^{+} = \tilde{\Gamma}^{*}$ 

Definition: Hermitian matrix (self-adjunct):  $\Gamma = \Gamma$ , *i.e.*  $H_{ij} = H^*_{ji}$ 

Definition: Unitary matrix  $\Gamma^{+}=\Gamma^{-1}$ 

Note: the rows and columns of a unitary matrix form a set of n orthogonal vectors. Unitary and Hermitian matrices can always be diagonalized through a similarity transformation.

<u>Definition</u>: Let a set of matrices  $\Gamma(R)$  be a representation of the symmetry operations R in the point group G. If there is a similarity transformation which converts the  $\Gamma(R)$  into block-diagonal form, then the blocks  $\Gamma_1$ ,  $\Gamma_2$ , ... are called irreducible representations if they cannot be further reduced.

Why are irreducible representations important? We are going to see that basis functions, e.g. electronic or vibronic wave functions, can be classified in terms of irreducible representations. This classification then decides on interactions (e.g. hybridization), term splittings, transition matrix elements etc.

in order to work on this, we need a number of central theorems ->

### 2.2 The Great Orthogonality Theorem

<u>Theorem (GOT)</u>: Consider all inequivalent, irreducible, unitary representations  $\Gamma_i(R)$  of a group  $G = \{R_1, R_2, ...\}$ 

Then

$$\sum_{R} \Gamma^{i}(R)^{*}_{mn} \Gamma^{j}(R)_{op} = \frac{h}{l_{i}} \delta_{ij} \delta_{mo} \delta_{np}$$

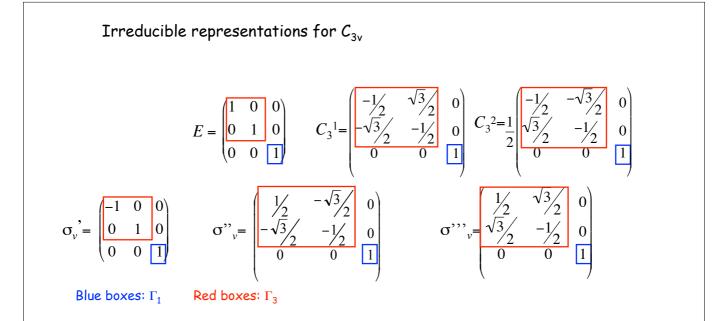
where i.j: index of element of representation matrix

mn,(op): row and column of  $\Gamma_i$ , ( $\Gamma_j$ )

h: order of the group

 $l_i$  dimension of the irreducible representation

 $\delta$  Kronecker symbol



We will learn in a moment that there are three irreducible representations for the group  $C_{3v}$ . The third one ( $\Gamma_2$ ) consists of the following "matrices" (without proof)

+1 
$$\underset{C_{3^2}}{\overset{\mathsf{E}}{\longrightarrow}} C_{3^2}$$
 -1  $\underset{\sigma_v''}{\overset{\sigma_v''}{\longrightarrow}} C_{3^{v''}}$ 

# **irreducible** representation of $C_{3v}$

	E C <sub>3</sub> <sup>1</sup>		C <sub>3</sub> <sup>2</sup>	$\sigma_v$ '	$\sigma_v$ "	$\sigma_v$ ""
1 <sup>st</sup> irr. rep	1 1		1	1	1	1
2 <sup>nd</sup> irr. rep	1	1	1	-1	-1	-1
3 <sup>rd</sup> irr. rep	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \\ \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix}$	$-\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & -1 \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix}$

This table can be used to apply the GOT in detail

We write down a similar table for the traces (characters) of the representation matrices, grouped by classes of symmetry operations

C <sub>3v</sub>	Е	2C <sub>3</sub>	$3\sigma_v$
$\Gamma_1$	1	1	1
Γ <sub>2</sub>	1	1	-1
Γ <sub>3</sub>	2	-1	0

traces or characters

### A vector space model of the representations

In order to understand the GOT, consider the following: vectors can be formed from the irreducible representations in group element space. This space is hdimensional (number of group elements), and its axes can be labelled by them.

> index of irred. rep. Vectors are characterized by three indices: i

> > **m**, **o** row of irred. rep.

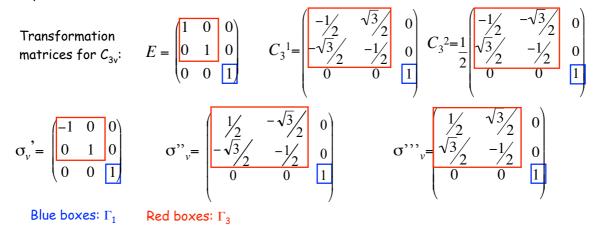
**n**,**p** column of irred. rep.

Then, according to the GOT:  $\begin{pmatrix} \Gamma_{m,n}^{1} \\ \Gamma_{m,n}^{2} \\ \vdots \\ \Gamma^{n} \end{pmatrix} \begin{pmatrix} \Gamma_{o,p}^{1} \\ \Gamma_{o,p}^{2} \\ \vdots \\ \vdots \\ \Gamma^{n} \end{pmatrix} = 0$ except for i=j, m=n, o=p !

In an h-dimensional space there can only be h linearly independent vectors -> upper limit to number of matrix elements that all irr. reps. together can have:

 $\Sigma l_{i}^2 \leq h$ 

Example of  $C_{3v}$ : Correspondence between certain subspaces of the domain on which we have constructed the matrix representations (i.e.  $R_3$ ), and certain irred. representations:



The first irreducible representation only affects the z coordinate, that means any length in x and y is conserved.

The third irreducible representation only affects the  $\boldsymbol{x}$  and  $\boldsymbol{y}$  coordinates.

The (x,y) plane and the z coordinate are not mixed by the irr. reps.

Instead of using the irreducible representation matrices we can often just use their characters - i.e. only handle numbers not matrices. For the one-dimensional irreducible representations the character in fact is the matrix (of dimension 1).

<u>Theorem</u>: The number of irreducible representation is equal to the number of classes of group elements

<u>Theorem:</u> A necessary and sufficient condition for the equivalence of two representations is that the characters are equal.

<u>Theorem</u>: Let  $I_i$  be the dimension of the i-th irreducible representation of a group of order h. Then

$$\sum l_{i^2} = h$$

e.g. in  $C_{3v}$ :  $1^2$ +  $1^2$ +  $2^2$ = 6 = h( $C_{3v}$ ). There is always a unique solution. The character of the symmetry operation E (the identity), which is the unit matrix, then gives the dimension of the irreducible representation.

# nomenclatureNNomenclature: $s_1$ a) Bethe: irr. reps just named $\Gamma^1, \Gamma^2, \Gamma^3...$ ; (used in mathematical<br/>treatments, for simplicity

b) Bouckaert, Smoluchowski, Wigner (BSW) (used in solid state physics)

 $\Gamma_{1}, \Gamma_{15}, \Gamma_{25}, \Gamma_{25}{'}$  etc.

Mulliken (widely used in chemistry, spectroscopy in general)

A,B	1-dimensional irr. reps
E	2-dimensional irr. reps
Т	3-dimensional irr. reps

Indices 1,2,3 no meaning

' and " symmetric or antisymmetric with respect to a horizontal mirror plane  $\sigma_{\rm h}$ 

g,u gerade/ungerade with respect to inversion

Example: irreducible representation  $A_{1g}$  in point group  $D_{6h}$ A means 1-dimensional, index 1 has no meaning g means functions transforming as  $A_{1g}$  are even under inversion

Example: irreducible representation  $T_{1u}$ : representation matrices are 3 dimensional, and functions transforming as  $T_{1u}$  are odd under inversion

# 2.3 Theorems about irreducible representations

In the following, the symbol  $\chi$  means % f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 is the trace of f(x)=0 . The trace of f(x)=0 .

<u>Theorem:</u> (Little orthogonality theorem, LOT) When summing over all symmetry operations R of a group G, the system of characters of an irreducible representation is orthogonal

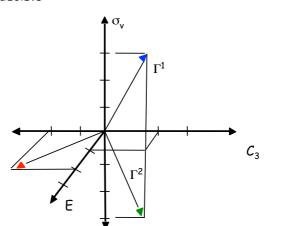
$$\sum_{R} \chi \left[ \Gamma^{i}(R) \right]^{*} \chi \left[ \Gamma^{j}(R) \right] = h \delta_{i}$$

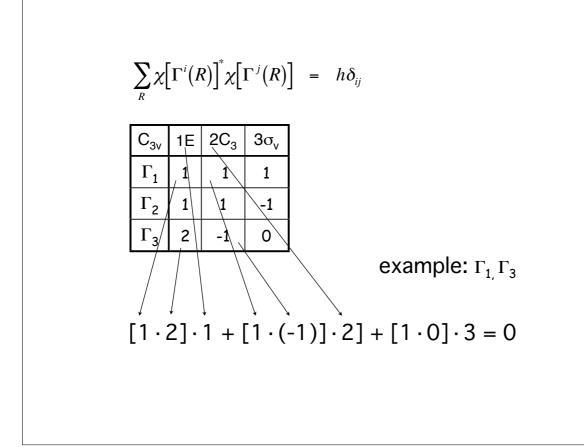
and normalized to the order h of a group:

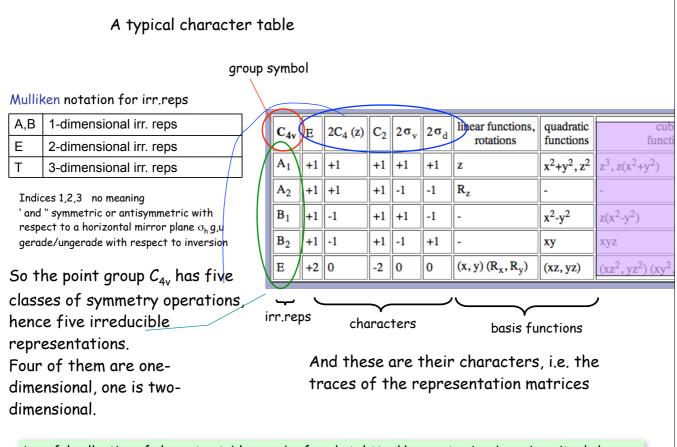
So for a test whether a representation is irreducible one can set i=j and carry out the summation

example:

C <sub>3v</sub>	Е	2C <sub>3</sub>	$3\sigma_v$
$\Gamma_1$	1	1	1
$\Gamma_2$	1	1	-1
$\Gamma_3$	2	-1	0







A useful collection of character tables can be found at http://symmetry.jacobs-university.de/

Example of nomenclature



Available online at www.sciencedirect.com

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www.elsevier.com/locate/cplett

The C 1s NEXAFS spectrum of benzene below threshold: Rydberg or valence character of the unoccupied σ-type orbitals

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the observation that the experimental excitation energies are higher than the calculated values by  $\approx 150-200$  meV.

Peaks A and D have been assigned in the literature to the transitions C  $1s^{-1}\pi^{*}e_{2u}$  and C  $1s^{-1}\pi^{*}b_{2g}$ , respectively. The assignments given in the literature for peaks B and C agree only in assigning  $\sigma$ -symmetry to the finalstate orbitals, i.e., they exhibit no node in the molecular plane. The detailed character of these orbitals, however, has been described quite differently, as summarized in Table 1.

In the presence of a localized core hole, the symmetry of benzene is reduced from  $D_{6h}$  to  $C_{2v}$ . In this case, the degenerate orbital  $e_{2u}$  (peak A) splits into two orbitals with  $b_1$  and  $a_2$  symmetry. Furthermore, an excitation from the localized core hole into the  $a_2$  orbital is forbidden by dipole-selection rules. Thus, the main peak A at 285.1 eV can be assigned to the C  $1s^{-1}\pi^{t}e_{2u}(b_1)$ transition. This transition exhibits a rich fine structure

### Reduction of reducible representations

We have seen how large dimensional representations can be obtained by considering spatial coordinates of atoms etc. Obviously we would like to find out how to decompose these into the constituent irreducible representations.

<u>Theorem</u>: The character of a reducible representation is the sum of the characters of the irreducible representations that make up the reducible representation:  $\sum_{i=1}^{n} \sum_{j=1}^{n} \sum_{i=1}^{n} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{i=1}^{n} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{i=1}^{n} \sum_{j=1}^{n} \sum_{j=1}^{n$ 

$$\chi \Big[ \Gamma^{red}(R) \Big] = \sum_{j} a_{j} \chi \Big[ \Gamma(R) \Big] \qquad (1)$$

 $a_j$  is the number of times  $\Gamma^j$  appears in  $\Gamma^{red}$ . This theorem becomes clear if we look at the block-diagonal form of a representation matrix, and remember that the character of a matrix does not change upon a similarity transformation.

Now we multiply (1) by 
$$\sum_{j} \chi[\Gamma(R)]^*$$
:  

$$\sum_{R} \chi[\Gamma^{j'}(R)]^* \chi[\Gamma^{red}(R)] = \sum_{R} \sum_{j} a_j \chi[\Gamma^{j'}(R)]^* \chi[\Gamma^j(R)] \quad apply the ``Little Orthogonality Theorem''$$

$$\sum_{R} \chi[\Gamma^{j'}(R)]^* \chi[\Gamma^{red}(R)] = h \sum_{j} a_j \delta_{jj'} \quad |j \to j'|$$

$$= h \sum_{i} a_j \delta_{jj'} = h \cdot a_j$$

# Reduction of reducible representations

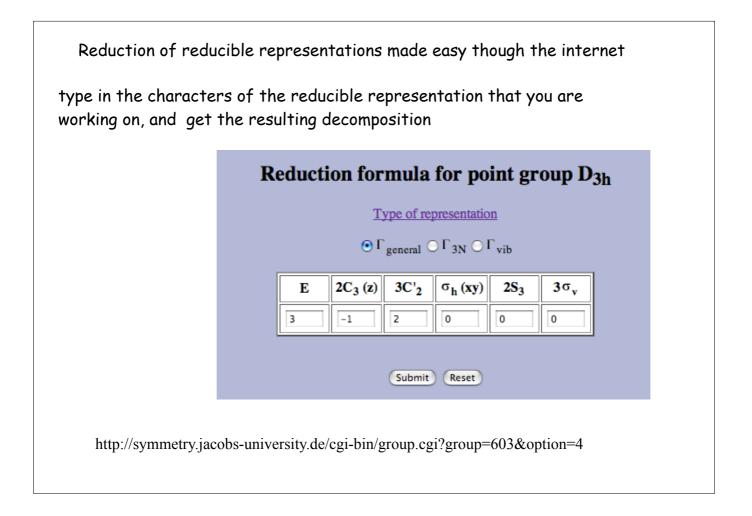
Thus:

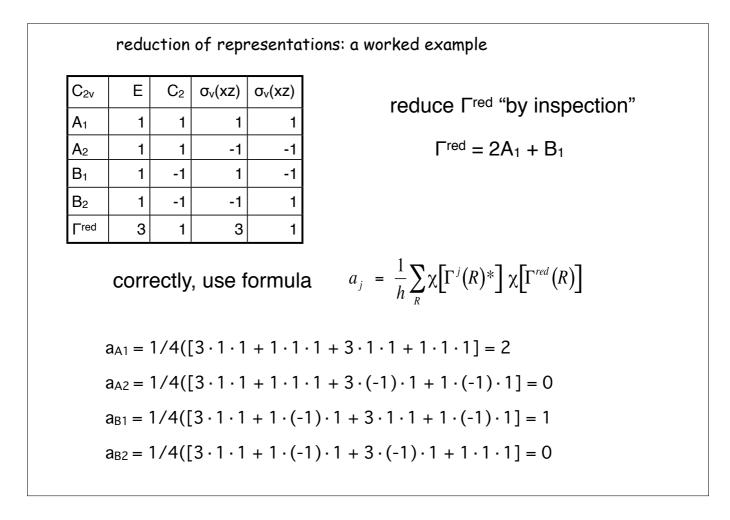
$$\sum_{R} \chi \left[ \Gamma^{j'}(R) \right]^* \chi \left[ \Gamma^{red}(R) \right] = h a_j$$

-> find out how many times an irreducible representation is contained in a reducible representation:

<u>Theorem:</u> A unique decomposition of a reducible representation into irred. reps. can be obtained from its characters

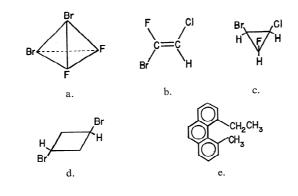
$a_{j} = \frac{1}{h} \sum \chi \left[ \Gamma^{j}(R)^{*} \right] \chi \left[ \Gamma^{red}(R) \right]$									
$n_R$	$(\Gamma_1^1)$	0	0	0	0	0	0	0	0)
	0	$\Gamma_1^1$	0	0	0	0	0	0	0
In the matrix on the right hand side, $\Gamma^1$ is	0	0	$\begin{array}{c} 0 \\ 0 \\ \Gamma_{11}^2 \\ \Gamma_{21}^2 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{array}$	$\Gamma_{\!12}^2$	0	0	0	0	0
contained twice ( $a_j(\Gamma^1) = 2$ ), $\Gamma^2$ is also	0	0	$\Gamma_{21}^2$	$\Gamma^2_{22}$	0	0	0	0	0
contained twice, and $\Gamma^3$ is contained once.	0	0	0	0	$\Gamma_{\!11}^2$	$\Gamma_{\!12}^2$	0	0	0
	0	0	0	0	$\Gamma_{21}^2$	$\Gamma_{22}^2$	0	0	0
	0	0	0	0	0	0	$\Gamma_{11}^3$	$\Gamma_{12}^3$	$\Gamma_{13}^3$
	0	0	0	0	0	0	$\Gamma^3_{21}$	$\Gamma^3_{22}$	$ \begin{array}{c} \Gamma_{23}^3 \\ \Gamma_{33}^3 \end{array} $
	0	0	0	0	0	0	$\Gamma_{31}^3$	$\Gamma_{32}^3$	$\left(\Gamma_{33}^3\right)$

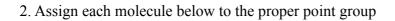


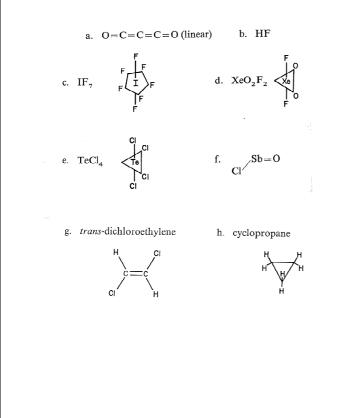


### Exercises

1. Molecules with a mirror plane, a center of inversion, or an improper axis of rotation cannot be optically active (i.e. exhibit circular dichroism) – those that have not may be optically active. Which of the following molecules may be optically active?







3. What group is obtained by adding to or deleting from each of the following groups the indicated symmetry operation? Use the character table.

C3 plus i	S <sub>6</sub> minus i	D <sub>3d</sub> minus S <sub>6</sub>
C <sub>3v</sub> plus i	T <sub>d</sub> plus i	S4 plus i
$C_{5v}$ plus $\sigma_h$	C <sub>3</sub> plus S <sub>6</sub>	C <sub>3h</sub> minus S <sub>6</sub> <sup>5</sup>

4. Decompose the following reducible representations of the point group D4:

D <sub>4</sub>	E	2C <sub>4</sub>	C <sub>2</sub>	2C <sub>2'</sub>	2C <sub>2"</sub>
Γ1	3	-1	-1	1	-1
Γ <sub>2</sub>	2	2	2	0	0
Гз	8	0	0	0	0
Γ4	4	-2	0	-2	2

